



MWBE UTILIZATION PLAN

Contract No.: PL651756

INSTRUCTIONS: This form must be submitted with any bid, proposal, response to request for qualifications or proposed negotiated contract or within a reasonable time thereafter, but prior to contract award as required in the IFB, RFP or RFQ. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (MWBE) under the contract. Attach additional sheets if necessary. Making false representations or including information evidencing a lack of good faith as part of, or in conjunction with, the submission of a Utilization Plan is prohibited by law and may result in penalties including, but not limited to, termination of a contract for cause, loss of eligibility to submit future bids, and/or withholding of payments. Firms that do not perform commercially useful functions may not be counted toward MWBE utilization.

Contractor's Name, Address and Telephone No. <u>Robert H. Finke and Sons</u>	Federal Identification No. <u>141622419</u>	Contract Description Location (Region) <u>Regions # 1, 2, 3, 7, 8, 9 of Heavy Equipment Rental Contract</u>	MWBE Goals in Contract MBE <u>5</u> % WBE <u>5</u> %
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Certified MWBE Subcontractors/Suppliers Name, Address, Telephone No, E-mail Address	Federal ID. No.	NYS ESD CERTIFIED		Detailed description of Work (Attach additional sheets if necessary)	Dollar Value of Subcontracts/ supplies/ services and intended performance dates of each component of the contract
		MBE	WBE		
<u>John W. Francisco Incorporated DBA Francisco Equipment</u>	<u>1414-54874</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<u>Construction + Industrial Equipment</u>	<u>TBD by demand</u>
<u>WPNT Construction LLC,</u>	<u>270165294</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<u>Trucking</u>	<u>TBD by demand</u>
<u>Monroe Tractor and Implement</u>	<u>160850083</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<u>Construction + Industrial Equipment</u>	<u>TBD by demand</u>

IF UNABLE TO FULLY MEET THE MBE AND WBE GOALS SET FORTH IN THE CONTRACT, CONTRACTOR MUST SUBMIT A REQUEST FOR WAIVER (Form BDC 333)

Submission of this form constitutes the contractor's acknowledgement and agreement to comply with the MWBE requirements set forth under NYS Executive Law, Article 15-A and 5 NYCRR Part 142. Failure to submit complete and accurate information may result in a finding of noncompliance or rejection of the bid/proposal and/or suspension or termination of the contract.

Prepared By (Signature) <u>Jared Finke</u>	Email Address <u>jared.finke@finkeequipment.com</u>
Name and Title of Preparer (Print or Type) <u>Jared Finke (Operations)</u>	Telephone No. <u>518-767-9331</u> Date <u>10/8/14</u>

FOR MWBE USE ONLY

Reviewed By <u>[Signature]</u>	Date <u>10/9/14</u>			
Utilization Plan Approved <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Date <u>10/9/14</u>			
Contract No.	Project No. (if applicable)	Contract Award Date	Estimated Completion Date	Contract Amount Obligated
Notice of Deficiency Issued <input type="checkbox"/> Yes <input type="checkbox"/> No	Date	Comments:		
Notice of Acceptance Issued <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Date <u>10/9/14</u>			