

Commodities and Services

Submit Completed Plan To:

Office of Minority and Women-Owned Business Enterprises

29th Floor, Corning Tower

The Governor Nelson A. Rockefeller Empire State Plaza

Albany, New York 12242

Phone: 518-486-9284

Fax: 518-486-9285



Office of General Services

Office of Minority and Women-Owned Business Enterprises

MWBE UTILIZATION PLAN

Initial Plan Revised plan Contract/Solicitation #RFP 1916

INSTRUCTIONS: This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each NYS Certified Minority and Women-owned Business Enterprises (MWBE) under the contract. By submission of this Plan, the Bidder/Contractor commits to good faith efforts in the utilization of MWBE subcontractors and suppliers as required by the MBE/WBE goals contained in the Solicitation/Contract. Making false representations or including information evidencing a lack of good faith as part of, or in conjunction with, the submission of a Utilization Plan is prohibited by law and may result in penalties including, but not limited to, termination of a contract for cause, loss of eligibility to submit future bids, and/or withholding of payments. Firms that do not perform commercially useful functions may not be counted toward MWBE utilization. Attach additional sheets if necessary.

BIDDER/CONTRACTOR INFORMATION		MWBE Goals in Contract
Bidder/Contractor Name: CARRIER CORPORATION	NYS Vendor ID: 100021101	MBE 5%
Bidder/Contractor Address (Street, City, State and Zip Code): 95 SHAWMUT ROAD, UNIT 3, CANTON, MASSACHUSETTES, 02021		WBE 5%

Bidder/Contractor Telephone Number: **518/ 220-2044** Contract Work Location/Region:

Contract Description/Title: **CHILLER MAINTENANCE, TESTING AND REPAIR AT THE CENTRAL AIR CONDITIONING PLANT IN ALBANY, NEW YORK**

CONTRACTOR INFORMATION			
Prepared by (Signature): <i>Mark R. McLaughlin</i>	Name and Title of Preparer: MARK R. MCLAUGHLIN, SALES REPRESENTATIVE	Telephone Number: 518/ 928-0295	Date: 7/27/2016
Email Address: MARK.MCLAUGHLIN@CARRIER.UTC.COM			

IF UNABLE TO MEET THE MBE AND WBE GOALS SET FORTH IN THE SOLICITATION/CONTRACT BIDDER/CONTRACTOR MUST SUBMIT A REQUEST FOR WAIVER (FORM BDC 333)

MWBE Subcontractor/Supplier Name: THREE D RIGGING & CONSTRUCTION, INC.	MWBE Certification: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE (If firm is dual certified please select one only)
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Please identify the person you contacted: BOB DIGESER, VICE PRESIDENT	Federal Identification No.: 4622035	Telephone No.: 518/ 729-3977
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Address: 542 ROUTE 9W, GLENMONT, NEW YORK 12077	Email Address: BOB@3D-RIGGING.COM
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Detailed Description of work to be provided by subcontractor/supplier:
LASER ALIGNMENT CHECKING ON THE STEAM TURBINES, CHILLER COMPRESSORS, ELECTRIC MOTOR AND GEAR BOX.

Dollar Value of subcontracts/supplies/services (When \$ value cannot be determined put estimated % of work under the contract or value TBD based on contractual spending): **\$13,500** or **18** %

MWBE Subcontractor/Supplier Name:	MWBE Certification: <input type="checkbox"/> MBE <input type="checkbox"/> WBE (If firm is dual certified please select one only)
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Please identify the person you contacted:	Federal Identification No.:	Telephone No.:
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Address:	Email Address:
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Detailed Description of work to be provided by subcontractor/supplier:

Dollar Value of subcontracts/supplies/services (When \$ value cannot be determined put estimated % of work under the contract or value TBD based on contractual spending): \$ _____ or _____ %

FOR OGS MWBE USE ONLY

OGS MWBE Authorized Signature: <i>Anuola Surgick</i>	<input checked="" type="checkbox"/> Accepted	<input type="checkbox"/> Accepted as Noted	<input type="checkbox"/> Notice of Deficiency
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NAME (Please Print): Anuola Surgick	MBE %/\$ _____	WBE %/\$ _____	Date Received: 7/27/16	Date Processed: 7/28/16
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Comments:

NYS CERTIFIED MWBE SUBCONTRACTOR/SUPPLIER INFORMATION: The directory of New York State Certified MWBEs can be viewed at: <https://ny.newnycontracts.com/FrontEnd/ VendorSearchPublic.asp?TN=ny&XID=2528>
Note: All listed Subcontractors/Suppliers will be contacted and verified by OGS.