NYS Office of General Services
Serving New York

MWBE UTILIZATION PLAN

Contract No.: Utica State Office Building PO#1889

INSTRUCTIONS: This form must be submitted with any bid, proposal, response to request for qualifications or proposed negotiated contract or within a reasonable time thereafter, but prior to contract award as required in the IFB, RFP or RFQ. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (MWBE) under the contract. Attach additional sheets if necessary. Making false representations or including information evidencing a lack of good faith as part of, or in conjunction with, the submission of a Utilization Plan is prohibited by law and may result in penalties including, but not limited to, termination of a contract for cause, loss of eligibility to submit future bids, and/or withholding of payments. Firms that do not perform commercially useful functions may not be counted toward MWBE utilization.

Contractor's Name, Address and Telephone No.	Federal Identification No.	Contract Description Location (Region)	MWBE Goals In Contract
Otis Elevator CO. 6304 Carrier Parkway East Syracuse NY 13205 315-632-2778	13-5583389	Elevator Maintenance Utica State Office Building	MBE 5 % WBE 5%

Certified M/WBE Subcontractors/Suppliers Name, Address, Telephone No, E-mail Address	Federal ID. No.	NYS ESD CERTIFIED MBE	NYS ESD CERTIFIED WBE	Detailed description of Work (Attach additional sheets if necessary)	Dollar Value of Subcontracts/ supplies/ services and intended performance dates of each component of the contract
Lift Solutions 5225 138th Dr. SE Snohomish Wa 98290	91-2178517	☒	☐	Will utilize for replacement elevator parts if needed	TBD
		☐	☐		
		☐	☐		

IF UNABLE TO FULLY MEET THE MBE AND WBE GOALS SET FORTH IN THE CONTRACT, CONTRACTOR MUST SUBMIT A REQUEST FOR WAIVER (Form BDC 333)

Submission of this form constitutes the contractor's acknowledgement and agreement to comply with the M/WBE requirements set forth under NYS Executive Law, Article 15-A and 5 NYCRR Part 142. Failure to submit complete and accurate information may result in a finding of noncompliance or rejection of the bid/proposal and/or suspension or termination of the contract.

Prepared By (Signature)	Email Address Anthony.Rayhel@otis.com	
Name and Title of Preparer (Print or Type) Anthony Rayhel Account Manager	Telephone No. 315-632-2778	Date 3/6/2015

FOR M/WBE USE ONLY

Reviewed By				Date 3/6/15
Utilization Plan Approved ☒ Yes ☐ No				Date 3/6/15
Contract No.	Project No. (If applicable)	Contract Award Date	Estimated Completion Date	Contract Amount Obligated
Notice of Deficiency Issued ☐ Yes ☑ No	Date	Comments: This one firm can be utilized to meet the overall contract goal of 10%.		
Notice of Acceptance Issued ☒ Yes ☐ No	Date 3/6/15			