



MWBE UTILIZATION PLAN

Contract No.: 22802 - Lot 4

INSTRUCTIONS: This form must be submitted with any bid, proposal, response to request for qualifications or proposed negotiated contract or within a reasonable time thereafter, but prior to contract award as required in the IFB, RFP or RFQ. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (MWBE) under the contract. Attach additional sheets if necessary. Making false representations or including information evidencing a lack of good faith as part of, or in conjunction with, the submission of a Utilization Plan is prohibited by law and may result in penalties including, but not limited to, termination of a contract for cause, loss of eligibility to submit future bids, and/or withholding of payments. Firms that do not perform commercially useful functions may not be counted toward MWBE utilization.

Contractor's Name, Address and Telephone No. SAS Institute Inc. 100 SAS Campus Dr. Cary, NC 27513	Federal Identification No. 56-1133017	Contract Description Location (Region) Group 73600 Information Technology Umbrella Contract - Manufacturer Based (Statewide)	MWBE Goals In Contract MBE 5% WBE 5%
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Certified MWBE Subcontractors/Suppliers Name, Address, Telephone No, E-mail Address	Federal ID. No.	NYS ESD CERTIFIED		Detailed description of Work (Attach additional sheets if necessary)	Dollar Value of Subcontracts/ supplies/ services and intended performance dates of each component of the contract
		MBE	WBE		
GCom Software Inc 24 Madison Ave Ext 4 Albany NY 12203		<input checked="" type="checkbox"/>	<input type="checkbox"/>	GCom will provide resources for professional services or other required staff augmentation related to licensing of SAS Software under the RFP.	TBD
Tech Valley Talent LLC 1360 Kania Rd Amsterdam NY 12010		<input type="checkbox"/>	<input checked="" type="checkbox"/>	Tech Valley will provide resources for professional services or other required staff augmentation related to licensing of SAS Software under the RFP.	TBD
	n/a	<input type="checkbox"/>	<input type="checkbox"/>		

IF UNABLE TO FULLY MEET THE MBE AND WBE GOALS SET FORTH IN THE CONTRACT, CONTRACTOR MUST SUBMIT A REQUEST FOR WAIVER (Form BDC 333)

Submission of this form constitutes the contractor's acknowledgement and agreement to comply with the MWBE requirements set forth under NYS Executive Law, Article 15-A and 5 NYCRR Part 142. Failure to submit complete and accurate information may result in a finding of noncompliance or rejection of the bid/proposal and/or suspension or termination of the contract.

Prepared By (Signature) <i>Annette H. Stevenson</i>	Email Address <u>annette.stevenson@sas.com</u>
Name and Title of Preparer (Print or Type) <u>Annette H. Stevenson, C.P.M. Supplier Diversity Manager</u>	Telephone No. <u>919-531-7241</u> Date <u>2/9/2016</u>

FOR MWBE USE ONLY				
Reviewed By <i>[Signature]</i>	Date <u>2/15/16</u>			
Utilization Plan Approved <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Date <u>2/19/16</u>			
Contract No.	Project No. (If applicable)	Contract Award Date	Estimated Completion Date	Contract Amount Obligated
Notice of Deficiency Issued <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Date <u>10/16/15</u>	Comments:		
Notice of Acceptance Issued <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Date <u>2/19/16</u>			