



# MWBE UTILIZATION PLAN

Contract No.: RFP 20191

**INSTRUCTIONS:** This form must be submitted with any bid, proposal, response to request for qualifications or proposed negotiated contract or within a reasonable time thereafter, but prior to contract award as required in the IFB, RFP or RFQ. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (MWBE) under the contract. Attach additional sheets if necessary. Making false representations or including information evidencing a lack of good faith as part of, or in conjunction with, the submission of a Utilization Plan is prohibited by law and may result in penalties including, but not limited to, termination of a contract for cause, loss of eligibility to submit future bids, and/or withholding of payments. Firms that do not perform commercially useful functions may not be counted toward MWBE utilization.

Contractor's Name, Address and Telephone No. <b>KASSELMAN ELECTRIC CO., INC.</b> Albany, NY 12202		Federal Identification No. 14-1504270		Contract Description Location (Region) <i>Security and Facility Systems, statewide</i>		MWBE Goals in Contract MBE 10% WBE 10%	
Certified MWBE Subcontractors/Suppliers Name, Address, Telephone No, E-mail Address	Federal ID. No.	NYS ESD CERTIFIED		Detailed description of Work (Attach additional sheets if necessary)	Dollar Value of Subcontracts/ supplies/ services and intended performance dates of each component of the contract		
		MBE	WBE				
Gomez Electrical Contractors Inc. 251 North Pearl Street Albany, NY 12207 518-427-8365	<i>14-259</i> <i>6019</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	LABOR SUBCONTRACTOR	TBD		
HIGHWOODS ELECTRIC INC. 973 GLASCO TURNPIKE SAUGERTIES, NY 12477 845-750-5189	56-2666488	<input type="checkbox"/>	<input checked="" type="checkbox"/>	LABOR SUBCONTRACTOR	TBD		
		<input type="checkbox"/>	<input type="checkbox"/>				
<p><b>IF UNABLE TO FULLY MEET THE MBE AND WBE GOALS SET FORTH IN THE CONTRACT, CONTRACTOR MUST SUBMIT A REQUEST FOR WAIVER (Form BDC 333)</b></p> <p>Submission of this form constitutes the contractor's acknowledgement and agreement to comply with the MWBE requirements set forth under NYS Executive Law, Article 15-A and 6 NYCRR Part 142. Failure to submit complete and accurate information may result in a finding of noncompliance or rejection of the bid/proposal and/or suspension or termination of the contract.</p>							
Prepared By (Signature) <i>Kevin Heelan</i>				Email Address <b>KEYINH@KASSELMANELECTRIC.COM</b>			
Name and Title of Preparer (Print or Type) <b>KEVIN M. HEELAN - CONTROLLER</b>				Telephone No. <b>518-465-4795 ext 121</b>		Date <b>3/9/15</b>	
<b>FOR MWBE USE ONLY</b>							
Reviewed By <i>[Signature]</i>						Date <i>4/2/15</i>	
Utilization Plan Approved <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No						Date <i>4/2/15</i>	
Contract No.		Project No. (If applicable)		Contract Award Date		Estimated Completion Date	
Notice of Deficiency Issued <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Date		Contract Amount Obligated			
Notice of Acceptance Issued <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Date <i>4/2/15</i>		Comments: <i>Approved with Notice because one of two subcontractors is a broker.</i>			