



Office of General Services Procurement Services

Corning Tower, Empire State Plaza, Albany, NY 12242 | <https://ogs.ny.gov/procurement> | customer.services@ogs.ny.gov | 518-474-6717

Contract Award Notification

Title	:	Group 31503 Bituminous Concrete – Hot Mix Asphalt (2019 VPP NYSDOT Specific Projects) (Federal & State Funds)
		Classification Code(s): 30
Award Number	:	23152
Contract Period	:	April 1, 2019 through December 31, 2019 Note: Contract Period for PC68509 (only) is effective from July 15, 2019 to December 31, 2019
Bid Opening Date	:	February 14, 2019
Date of Issue	:	Upon Approval by OSC (Revised July 25, 2019)
Specification Reference	:	SPEC-938 dated December 4, 2018
Contractor Information	:	Appears on Page 4 to 7 of this Award

Address Inquiries To:

State Agencies & Vendors		Political Subdivisions & Others	
Name :	Brandy.Alden@ogs.ny.gov	Procurement Services Customer Services	
Title :	CMS 1		
Phone :	518-408-1140	Phone :	518-474-6717
E-mail :	Brandy.Alden@ogs.ny.gov	E-mail :	customer.services@ogs.ny.gov

**Procurement Services values your input.
Complete and return *Contract Performance Report* at end of document.**

Description

This Contract is issued by the New York State Office of General Services Procurement Services for Bituminous Concrete – Hot Mix Asphalt as specified herein for the NYS Department of Transportation. The Contract is for specific NYSDOT projects ONLY.

Bituminous Concrete is a mixture of stone of various sizes and liquid material. The mixture is heated and proportioned in a bituminous concrete plant and compacted on a road in a heated state. Once the material cools, it becomes a hard durable material. Bituminous concrete is used for preventive maintenance activities which ensure that highways and bridges meet or exceed their optimum useful life.

PR # 23152ra

(continued)

Table of Contents

SECTION 1: CONTRACTOR INFORMATION	4
1.1 Contractor Information	4
1.2 Small, Minority and Women-Owned Businesses:.....	8
1.3 Recycled, Remanufactured and Energy Efficient Products:.....	8
1.4 Note to Authorized Users:.....	8
1.5 Estimated Quantities:.....	9
SECTION 2: PRICING	10
2.1 Award Pricing and Superpave HMA Tables	10
2.2 Price.....	10
2.3 Asphalt Price Adjustment.....	10
2.4 Price Structure	15
SECTION 3: PREVAILING WAGE RATES	16
3.1 Prevailing Wage.....	16
SECTION 4: NYS MAP – NYSDOT REGIONS.....	18
SECTION 5: ADMINISTRATION.....	19
5.1 Contract Administration	19
SECTION 6: TERMS AND CONDITIONS.....	20
6.1 Contract Term and Extensions	20
6.2 Short Term Extension	20
6.3 Ordering	20
6.4 Purchasing Card Orders	20
6.5 Minimum Order	20
6.6 Invoicing and Payment	21
6.7 Product Delivery.....	22
6.8 NYS Financial System (SFS).....	23
6.9 N.Y. State Finance Law § 139-I.....	23
6.10 Insurance	23
6.11 Report of Contract Usage	24
6.12 Contractor Requirements and Procedures for Participation by New York State Certified Minority- and Women-Owned Business Enterprises and Equal Employment Opportunities for Minority Group Members and Women / DBE Utilization and Equal Employment Opportunity Requirements for Federally Funded Projects	24
6.13 Participation Opportunities For New York State Certified Service-Disabled Veteran Owned Businesses	27
6.14 Use of Recycled or Remanufactured Materials	27
6.15 Environmental Attributes and NYS Executive Order Number 4	27
6.16 Consumer Products Containing Mercury.....	27
6.19 Drug and Alcohol Use Prohibited.....	28

(continued)

6.20	Traffic Infractions	28
6.21	Federal Aid Project Requirements (Chapter 12 - Appendix 12- 1).....	28

(continued)

SECTION 1: CONTRACTOR INFORMATION

1.1 Contractor Information

NOTE: See individual contract items to determine actual awardees.

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68501	Barrett Paving Materials Inc 23572 NYS Route 37 Watertown, NY 13601 Phone #: (315) 788-2037 Contact: Patrick Dewey Email: pdewey@barrettpaving.com Website: www.barrettpaving.com Hours: 7:00AM -2:30 PM (M-F) <i>Accepts Procurement Card for orders up to \$50,000</i>	13-3003901 1000038867

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68502	Cobleskill Stone Products, Inc P.O. Box 220 Cobleskill, NY 12043 Phone #: (518) 234-0221 Contact: Shane J. Strong Email: csp.shane@yahoo.com Hours: 7:00AM to 3:30PM (M-F)	14-1646795 1000006949

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68503 SB	Concrete Applied Technologies Corp. d/b/a CATCO 1266 Townline Road Alden, NY 14004 Phone #: (716) 651-4642 Contact: Aaron Stisser Email: aaronstisser@catco.com Hours: 6:00AM to 5:00PM (M-F) <i>Accepts Procurement Card for orders up to \$50,000</i>	16-1481049 1000008259

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68504	Dalrymple Gravel & Contracting Co., Inc 2105 South Broadway Pine City, NY 14871 Phone #: (607) 737-6200 x116 Contact: Jeanne Buckbee Email: jbuckbee@dalgravel.com Hours: 8:00AM to 5:00PM (M-F)	16-0399910 1000007432

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CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68505	Dolomite Products Company Inc d/b/a A.L. Blades 7610 County Route 65 Hornell, NY 14843	16-0410930 1000007433
	Phone #: (607) 624-3636 Contact: Robert Mitchell Email: Rmitchell@dolomitegroup.com Hours: 7:00AM to 3:45PM (M-F)	

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68506 SB	Elmira Road Materials LLC 170 East Service Rd Binghamton, NY 13901	20-8422330 1000016804
	Phone #: (607) 739-7283 Contact: Brien Myers Email: pave@ermlcny.com Hours: 7:00AM to 3:00PM (M-F)	

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68507	Hanson Aggregates New York LLC P.O. Box 513 Jamesville, NY 13078	16-0928494 1000028456
	Phone #: (315) 469-5501 Contact: Thomas M. McCambley Email: tom.mccambley@lehighhanson.com Hours: 8:00AM to 5:00PM (M-F) <i>Accepts Procurement Card for orders up to \$50,000</i>	

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68508 SB	Keeler Construction Co., Inc 13519 West Lee Road Albion, NY 14411	16-0844121 1000039538
	Phone #: (585) 589-4481 Contact: Mark D. Keeler Email: crusherun@aol.com Hours: 7:00AM to 5:00PM (M-F)	

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CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68509	Kubricky Construction Corp 269 Ballard Rd Wilton, NY 12831 Phone #: (518) 792-5864 Contact: Jamie Maybo Email: JRMaybo@dacollins.com Hours: 7:00AM to 5:00PM (M-F)	14-1491816 1000006752
Contract Period for PC68509 (only) is effective from July 15, 2019 to December 31, 2019		

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68510 SB	Luck Brothers, Inc 73 Trade Road Plattsburgh, NY 12901 Phone #: (518) 561-4321 Contact: Jeffrey Luck Email: jeff@luckbros.com Website: www.luckbros.com Hours: 7:00AM to 5:00PM (M-F)	14-0509121 1000001650

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68511 SB	Northern Asphalt LLC 6131 East Taft Road North Syracuse, NY 13212 Phone #: (315) 433-5115 Contact: Joe Corapi Email: joec2@riccellienterprises.com Website: www.riccellienterprises.com Hours: 7:00AM to 5:00PM (M-F); 7:00AM to 12:00PM (Sa)	46-5087422 1100176439

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68512 SB	Occhino Corporation 2650 Seneca St West Seneca, NY 14224 Phone #: (716) 827-7000 Contact: Carol Corcoran Email: carolc@occhinopaving.com Website: www.occhinocorp.com Hours: 7:30AM to 5:00PM (M-F)	16-0835935 1000055173

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CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68513	Peckham Road Corporation 375 Bay Rd, Suite 201 Queensbury, NY 12804 Phone #: (518) 792-3157 Contact: Jennifer Sablich Email: jsabl@peckham.com Website: www.peckham.com Hours: 7:00AM to 4:00PM (M-F)	13-3493213 1000006385

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68514	Suit-Kote Corporation 1911 Lorings Crossing Road Cortland, NY 13207 Phone #: (315) 238-7053 x350 Contact: Mike Murphy Email: mmurphy@suit-kote.com Website: www.suit-kote.com Hours: 7:30AM to 4:00PM (M-F)	16-0835935 1000055173

CONTRACT #	CONTRACTOR INFORMATION	FED. ID #/ NYS VENDOR #
PC68515 SB	Sullivan County Paving & Construction Inc 420 Bernas Rd Cochecton, NY 12726 Phone #: (845) 932-8337 Contact: Kenneth Bowdren Email: kenb@jmss.biz Website: www.sullivancountypaving.com Hours: 7:00AM to 4:30PM (M-F)	14-1819953 1000001900

Cash Discount, If Shown, Should be Given Special Attention.
INVOICES MUST BE SENT DIRECTLY TO THE ORDERING AGENCY FOR PAYMENT.
(See "Invoicing and Payments" in this document.)

AGENCIES SHOULD NOTIFY NEW YORK STATE PROCUREMENT SERVICES PROMPTLY IF THE CONTRACTOR FAILS TO MEET DELIVERY OR OTHER TERMS OF THIS CONTRACT.

PRODUCTS OR SERVICES WHICH DO NOT COMPLY WITH THE SPECIFICATIONS OR ARE OTHERWISE UNSATISFACTORY TO THE AGENCY SHOULD ALSO BE REPORTED TO PROCUREMENT SERVICES.

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1.2 Small, Minority and Women-Owned Businesses:

The letters SB listed under the Contract Number indicate the contractor is a NYS small business. Additionally, the letters MBE and WBE indicate the contractor is a Minority-owned Business Enterprise and/or Woman-owned Business Enterprise.

1.3 Recycled, Remanufactured and Energy Efficient Products:

Procurement Services supports and encourages the purchase of recycled, remanufactured, energy efficient and "energy star" products. If one of the following codes appears as a suffix in the Award Number or is noted under the individual Contract Number(s) in this Contract Award Notification, please look at the individual awarded items for more information on products meeting the suffix description.

RS, RP, RA	Recycled
RM	Remanufactured
SW	Solid Waste Impact
EE	Energy Efficient
E*	EPA Energy Star
ES	Environmentally Sensitive

1.4 Note to Authorized Users:

When placing purchase orders under the contract(s), the authorized user should be familiar with and follow the terms and conditions governing its use which usually appears at the end of this document. The authorized user is accountable and responsible for compliance with the requirements of public procurement processes. The authorized user must periodically sample the results of its procurements to determine its compliance. In sampling its procurements, an authorized user should test for reasonableness of results to ensure that such results can withstand public scrutiny.

The authorized user, when purchasing from OGS contracts, should hold the contractor accountable for contract compliance and meeting the contract terms, conditions, specifications, and other requirements. Also, in recognition of market fluctuations over time, authorized users are encouraged to seek improved pricing whenever possible.

Authorized users have the responsibility to document purchases, particularly when using OGS multiple award contracts for the same or similar product(s)/service(s), which should include:

- a statement of need and associated requirements,
- a summary of the contract alternatives considered for the purchase,
- the reason(s) supporting the resulting purchase (e.g., show the basis for the selection among multiple contracts at the time of purchase was the most practical and economical alternative and was in the best interests of the State).

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1.5 Estimated Quantities:

A Contract resulting from this Solicitation shall be an estimated quantity Contract. No specific quantities are represented or guaranteed, and the State provides no guarantee of individual Authorized User participation. The Contractor must furnish all quantities actually ordered at or below the Contract prices. The anticipated dollar value of the award for this Solicitation, based on historical purchases under previous awards, is approximately \$40 million annually. The individual value of each resultant Contract is indeterminate and will depend upon the number of Contracts issued and the competitiveness of the pricing offered. Authorized Users will be encouraged to purchase from Contractors who offer the Products and pricing that best meet their needs in the most practical and economical manner. See Appendix B, Estimated/Specific Quantity Contracts and Participation in Centralized Contracts.

Numerous factors could cause the actual quantities of Products purchased under a Contract resulting from this Solicitation to vary substantially from the estimates in the Solicitation. Such factors include, but are not limited to, the following:

- Such Contracts may be non-exclusive Contracts.
- There is no guarantee of quantities to be purchased, nor is there any guarantee that demand will continue in any manner consistent with previous purchases.
- The individual value of each Contract is indeterminate and will depend upon actual Authorized User demand and actual quantities ordered during the contract period.
- The State reserves the right to terminate any Contract for cause or convenience prior to the end of the term pursuant to the terms and conditions of the Contract.
- Contract pricing that is lower than anticipated could result in a higher quantity of purchases by Authorized Users than anticipated.

By submitting a Bid, Bidder acknowledges the foregoing and agrees that actual good faith purchasing volumes during the term of the resulting Contracts could vary substantially from the estimates provided in this Solicitation.

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SECTION 2: PRICING

2.1 Award Pricing and Superpave HMA Tables

Please refer to the price pages' link published at the webpage for this contract at the OGS Procurement Services website:

<https://online.ogs.ny.gov/purchase/spg/awards/3150323152CAN.HTM>

2.2 Price

2.2.1 General

Price quoted shall be net per ton, furnished, delivered, dumped into approved spreading machines, placed, and compacted totally by the Contractor. The existing bituminous concrete surface (and any surfaces included in this contract that will be overlaid by this contract) shall be treated with tack coat.

Tack coat shall be paid under its own item. The price quoted for the tack coat shall include furnishing, delivering, and applying the tack coat as indicated. Price adjustments, if any, will be calculated based on the material actually furnished.

The vendor is to furnish all necessary labor and equipment to complete the indicated projects except that the State will supervise and control the operation. Permanent pavement striping will be the responsibility of the State upon completion of the paving after the vendor has vacated each project site. The equipment supplied to place the hot mix asphalt shall meet the requirements of Section 402 of the New York State Department of Transportation Standard Specifications. The equipment supplied to place the tack coat shall meet the requirements of Section 407 of the New York State Department of Transportation Standard Specifications.

Hot mix asphalt pavers shall meet the requirements of Sub-Section 402-3.02, Hot Mix Pavers, of the New York Department of Transportation Standard Specifications. Compaction equipment shall meet the requirements of Sub-Section 402-3.04, Rollers of the Specification. All necessary operators shall be supplied along with the hot mix asphalt paver, rollers and distributor.

The approved hot mix asphalt pavers shall be capable of simultaneously paving the travel lanes and the shoulders as indicated in the *Project Dimensions* tab of *Superpave Hot Mix Asphalt Tables*. All personnel supplied for the paving shall be qualified and experienced in hot mix asphalt paving.

2.3 Asphalt Price Adjustment

2.3.1 General

- a. Asphalt price adjustments allowed will be based on the **December 2018** average of the F.O.B. terminal price **per ton** of unmodified PG 64S-22 binder without anti-stripping agent (base average F.O.B. terminal price) **for the hot mix asphalt and tack coat.**

The December 2018 average is \$558.000.

The new monthly average terminal price will be determined by the New York State Department of Transportation based on prices of pre-approved primary sources of performance graded binder in accordance with the New York State Department of Transportation Standard Specification.

NOTE: The same grade of asphalt cement used in establishing the base average F.O.B. terminal price shall be used in establishing the new average F.O.B. terminal price.

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In the event that one or more of the New York State Department of Transportation pre-approved sources discontinue posting a price for asphalt cement, the base average F.O.B. terminal **price shall not be recalculated.**

- b. The new average F.O.B. terminal price will be determined based on the above F.O.B. terminal prices posted each month, hereafter known as the "Adjustment Date", during the contract period. However, asphalt price adjustments, in accordance with the formula below, will be effective for deliveries made on and after the first of the month following the adjustment date.
- c. The unit prices per ton of hot mix asphalt (HMA) and per gallon of tack coat purchased from any award based on this specification will be subject to adjustment based on the following formula:

Hot Mix

$$\text{Price Adjustment (per ton)} = \left(\frac{\text{New Monthly Average FOB Terminal Price} - \text{Base Average FOB Terminal Price}}{235} \right) \times \text{Total \% Asphalt Plus Fuel Allowance}$$

Tack Coat

$$\text{Price Adjustment (per gallon)} = \left(\frac{\text{New Monthly Average FOB Terminal Price} - \text{Base Average FOB Terminal Price}}{235} \right) \times \text{Total \% Asphalt Plus Fuel Allowance}$$

Positive Price Adjustment number shall be added to original per ton/gallon Bid Price.
Negative Price Adjustment number shall be subtracted from original per ton/gallon Bid Price.

New Monthly Average F.O.B. Terminal Price

The average F.O.B. terminal price for unmodified PG 64S-22 binder without anti-stripping agent is as determined by the New York State Department of Transportation per New York State Department of Transportation Standard Specification.

Base Average F.O.B. Terminal Price

The average F.O.B. terminal price of unmodified PG 64S-22 binder without anti-stripping agent is as determined by the New York State Department of Transportation as of **December 2018**

Total % Asphalt plus Fuel

The percentage of total allowable asphalt and fuel for each item is as follows:

Material Designation	Asphalt %	+Fuel Allowance %	Total % Asphalt Plus Fuel
402.017903	****	1	****
402.018903	****	1	****
402.058903	8.25	1	9.25%
402.068X03	6.70	1	7.70%
402.09XX03	6.20	1	7.20%
402.12XX03	5.50	1	6.50%
402.19XX03	4.90	1	5.90%
407.0102 - Diluted Tack Coat	40.00	0.2	40.20%
407.0103 - Straight Tack Coat	55.00	0.2	55.20%

****The conversion factor for Truing & Leveling will be computed separately using the conversion factors for the individual mixtures used.

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+Fuel Allowance represents allowance for energy (fuel, electricity, natural gas) used in the production of asphalt. It is a cost associated with the product and not intended to represent any trucking or hauling of product.

- d. Work performed after the expiration of the contract, where no extension has been granted, resultant from purchase orders placed prior to expiration of the contract will receive the asphalt price adjustments applicable in effect during the last month of the contract.

Asphalt Price Adjustments for any contracts that are extended will be based on the new average for the month in which the work is done applying the same base established for that contract.
- e. Asphalt price adjustments allowed by this contract shall be calculated and applied to the original prices. There will not be asphalt price adjustments unless the change amounts to more than \$0.10 per ton from the original price for the hot mix and \$0.0170 per gallon for the tack coat. In these instances, prices will revert back to the original prices.
- f. All asphalt price adjustments will be computed to three decimal places.
- g. Should these provisions result in a price structure which becomes unworkable, detrimental or injurious to the State or in prices which are not truly reflective of market conditions or which are deemed by the Commissioner to be unreasonable or excessive, and no adjustment in price is mutually agreeable, the Commissioner reserves the sole right upon ten days written notice mailed to the Contractor to terminate any contract resulting from this bid opening.
- h. All asphalt price adjustments shall be published by the State and issued to all contract holders whose responsibility will be to attach the appropriate State notification (based on when the work was performed) to the payment invoice submitted to agency.

2.3.2 Asphalt Price Adjustment for Hot Mix Asphalt: Example
Example of Positive Price Adjustment - Hot Mix Asphalt

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 402.09XX03

Item 402.09XX03 Bid Price = \$70.000 (example)

Base Average F.O.B Terminal Price = \$558.000 (fixed for the duration of the contract)

New Monthly Average F.O.B. Terminal Price = \$568.000 (example, it changes each month)

Total % Asphalt plus Fuel for Item 402.09XX03 = 7.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 402.09XX03 (example):

Price Adjustment	=	$\left(\begin{array}{c} \text{New Monthly} \\ \text{Average F.O.B.} \\ \text{Terminal Price} \end{array} - \begin{array}{c} \text{Base Average} \\ \text{F.O.B.} \\ \text{Terminal Price} \end{array} \right) \times \begin{array}{c} \text{Total \% Asphalt} \\ \text{(Per Ton)} \\ \text{Plus Fuel Allowance} \end{array}$
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$$(\$568.000 - \$558.000) \times 0.072 = \$10.000 \times 0.072 = \textbf{+\$0.720 per ton}$$

Positive Price Adjustment number shall be added to original per ton Bid Price.

Contract price for Item 402.09XX03 including the new Price Adjustment for that month (example):

Contract Price = Bid Price + Monthly Price Adjustment

Contract Price = \$70.000 + \$0.720 = **\$70.720 per ton**

(continued)

Example of Negative Price Adjustment- Hot Mix Asphalt

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 402.09XX03

Item 402.09XX03 Bid Price = \$70.000 (example)

Base Average F.O.B. Terminal Price = \$558.000 (fixed for the duration of the contract)

New Monthly Average F.O.B. Terminal Price = \$548.000 (example, it changes each month)

Total % Asphalt plus Fuel for Item 402.09XX03 = 7.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 402.09XX03 (example):

Price Adjustment	=	$\left(\begin{array}{c} \text{New Monthly} \\ \text{Average F.O.B.} \\ \text{Terminal Price} \end{array} - \begin{array}{c} \text{Base Average F.O.B.} \\ \text{Terminal Price} \end{array} \right) \times \begin{array}{c} \text{Total \% Asphalt} \\ \text{(Per Ton)} \\ \text{Plus Fuel Allowance} \end{array}$
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$$(\$548.000 - \$558.000) \times 0.0720 = -\$10.000 \times 0.0720 = \textbf{-\$0.720 per ton}$$

Negative Price Adjustment number shall be subtracted from original per ton Bid Price.

Contract price for Item 402.09XX03 including the new Price Adjustment for that month (example):

Contract Price = Bid Price - Monthly Price Adjustment

Contract Price = \$70.000 - \$0.720 = **\$69.280 per ton**

(continued)

2.3.3 Asphalt Price Adjustment for Tack Coat: Example

(Example of Positive Price Adjustment – Tack Coat)

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 407.0102 Diluted Tack Coat

Item 407.0102 Bid Price = \$2.000 (example)

Base Average F.O.B. Terminal Price = \$558.000 (fixed for the duration of the contract)

New Monthly Average F.O.B. Terminal Price = \$568.000 (example, it changes each month)

Total % Asphalt plus Fuel for Item 407.0102 = 40.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 407.0102 Diluted Tack Coat (example):

Tack Coat

Price Adjustment (per gallon)	=	$\left(\frac{\text{New Monthly Average FOB Terminal Price} - \text{Base Average FOB Terminal Price}}{235} \right) \times \text{Total \% Asphalt Plus Fuel Allowance}$
-------------------------------	---	--

$$(\$568.000 - \$558.000) / 235 \times 0.402 = \$10.000 / 235 \times 0.402 = \textbf{+\$0.017 per gallon}$$

Positive Price Adjustment number shall be added to original per ton Bid Price.

Contract price for Item 407.0102 Diluted Tack Coat including the new Price Adjustment for that month (example):

Contract Price = Bid Price + Monthly Price Adjustment

Contract Price = \$2.000 + \$0.017 = **\$2.017 per gallon**

(Example of Negative Price Adjustment- Tack Coat)

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 407.0102 Diluted Tack Coat

Item 407.0102 Bid Price = \$2.000 (example)

Base Average F.O.B. Terminal Price = \$558.000 (fixed for the duration of the contract)

New Monthly Average F.O.B Terminal Price = \$548.000(example, it changes each month)

Total % Asphalt plus Fuel for Item 407.0102 = 40.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 407.0102 Diluted Tack Coat (example):

Tack Coat

Price Adjustment (per gallon)	=	$\left(\frac{\text{New Monthly Average FOB Terminal Price} - \text{Base Average FOB Terminal Price}}{235} \right) \times \text{Total \% Asphalt Plus Fuel Allowance}$
-------------------------------	---	--

$$(\$548.000 - \$558.000) / 235 \times 0.402 = -\$10.000 / 235 \times 0.402 = \textbf{-\$0.017 per gallon}$$

Negative Price Adjustment number shall be subtracted from original per ton Bid Price.

Contract price for Item 407.0102 including the new Price Adjustment for that month (example):

Contract Price = Bid Price - Monthly Price Adjustment

Contract Price = \$2.000 - \$0.017 = **\$1.983 per gallon**

(continued)

2.4 Price Structure

If, during the Contract Term, the Contractor is unable or unwilling to meet contractual requirements in whole or in part based on the price structure of the Contract, it shall immediately notify the Office of General Services, Procurement Services in writing. Such notification shall not relieve the Contractor of its responsibilities under the Contract. The State may, but is not required to, consider an equitable adjustment in the Contract terms and/or pricing in the circumstances outlined in Appendix B, *Savings/Force Majeure*.

Should the Commissioner in his or her sole discretion determine during the Contract Term that (i) the Contract price structure is unworkable, detrimental, or injurious to the State, or (ii) the Contract price structure results in prices which are unreasonable, excessive, or not truly reflective of current market conditions, and no adjustment in the Contract terms and/or pricing is mutually agreeable, the State may terminate the Contract upon 10 business days written notice mailed to the Contractor.

(continued)

SECTION 3: PREVAILING WAGE RATES

3.1 Prevailing Wage

Work being bid is subject to the prevailing wage rate provisions of New York State Labor Law. See "Prevailing Wage Rates - Public Works and Building Services Contracts" in Appendix B, *OGS General Specifications*. Any federal or State determination of a violation of any public works law or regulation, or labor law or regulation, or any OSHA violation deemed "serious or willful" may be grounds for a determination of vendor non-responsibility, rejection of bid, suspension or termination of Contract.

Any provisions of NYS Labor Law that are in conflict with mandatory Federal-Aid construction contract compliance requirements are superseded. Any provisions of NYS Labor Law that are not in conflict with mandatory Federal-Aid construction contract compliance requirements or the Davis-Bacon Act but are more restrictive shall apply.

For bidding purposes, the applicable Prevailing Wage Rate Schedule for this solicitation is **PRC # 2018014918**.

IMPORTANT NOTE: NYSDOT will provide a separate PRC # for each purchase from this contract where prevailing wage rates apply. The PRC # provided in this bid is for information and evaluation purposes only.

For access to the Department of Labor (DOL) Prevailing Wage Schedule, use the following link:
<https://applications.labor.ny.gov/wpp/showFindProject.do?method=showIt>

For Prevailing Wage Updates, use the following DOL link:
<https://applications.labor.ny.gov/wpp/publicViewPWChanges.do?method=showIt>

Links to schedule updates appear in the table at the bottom of the web page.

The Federal Wage Rate Charts are located on the web at: <http://www.wdol.gov/dba.aspx>. To retrieve the Federal Wage Rate Charts, referring to the next page's tables, enter the applicable WD# in the "Select DBA by number" field on the web page and click "Search".

3.1.1 Worker Notification

Labor Law § 220(3-a)(a)(ii) requires Contractors and subcontractors to provide written notice to all laborers, workers or mechanics of the *prevailing wage rate* for their particular job classification *on each pay stub**. It also requires Contractors and subcontractors to *post a notice* at the beginning of the performance of every public work Contract *on each job site* that includes the telephone number and address for the Department of Labor and a statement informing laborers, workers or mechanics of their right to contact the Department of Labor if he/she is not receiving the proper prevailing rate of wages and/or supplements for his/her particular job classification. The required notification will be provided with each wage schedule, may be downloaded from www.labor.ny.gov or made available upon request by contacting the Bureau of Public Work at 518-457-5589.

* In the event that the required information will not fit on the pay stub, an accompanying sheet or attachment of the information will suffice.

3.1.2 OSHA 10-Hour Construction Safety and Health Course

Labor Law § 220-h requires that on all public work contracts of at least \$250,000, all laborers, workers, and mechanics working on site be certified as having successfully completed the OSHA 10-hour construction safety and health course. It further requires that the advertised bids and contracts for every public work contract of at least \$250,000 contain a provision of the requirement AND only applies to workers on a public work project that are required under Article 8 to receive the prevailing wage.

Further information may be found at:
www.labor.ny.gov/workerprotection/publicwork/PWContents.shtml

(continued)

3.1.3 Living Wage

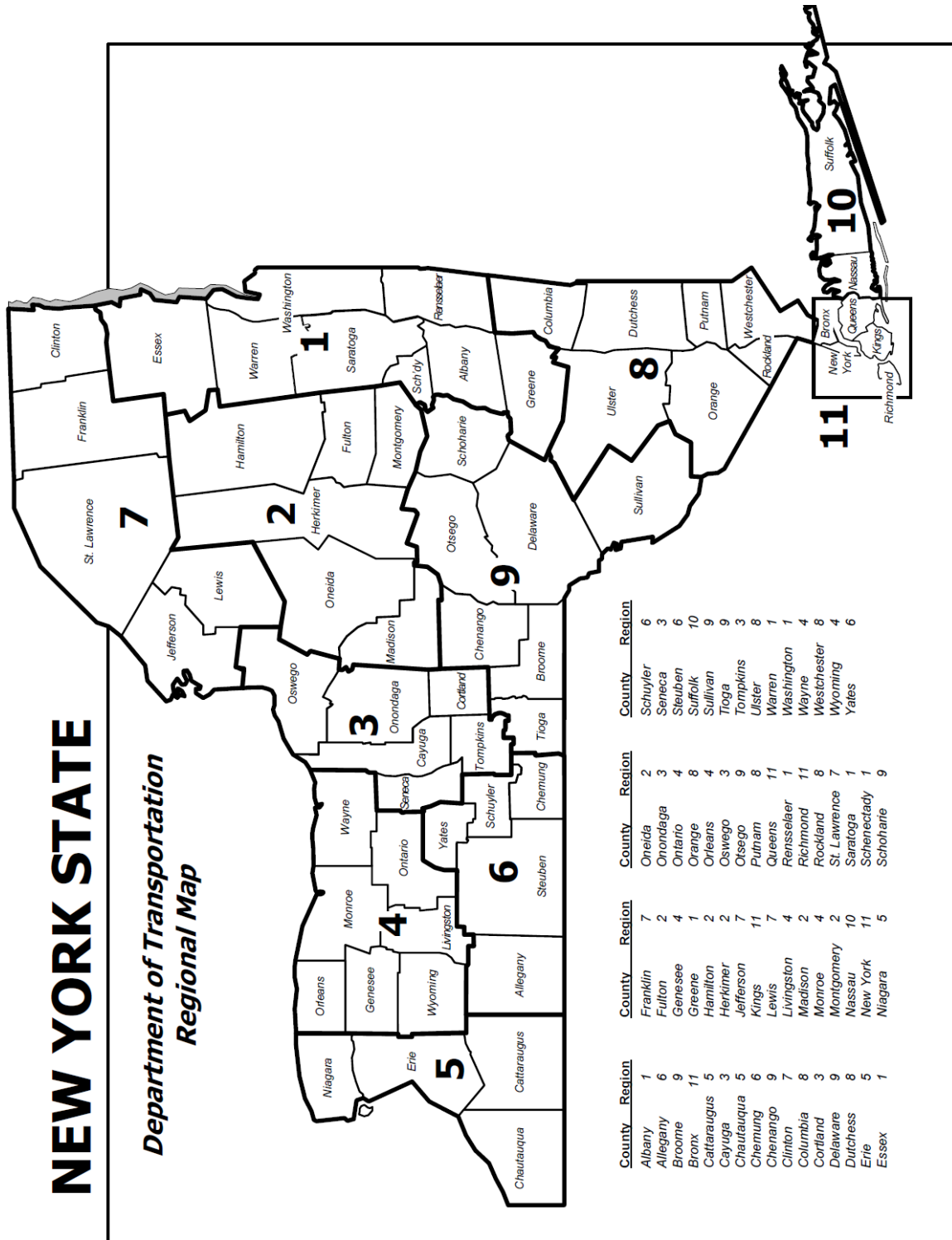
An Authorized User subject to a local law establishing a “living wage”, such as Section 6-109 of the New York City Administrative Code, is required to ensure the Contractor sought to be hired complies with such local law. If the pay rate(s) for a job title(s) is less than the local law “living wage,” then the Authorized User subject to such local law cannot use this Contract for such job title(s). Local laws, however, are not a term and condition of the OGS contract.

COUNTY	WD #	COUNTY	WD #	COUNTY	WD #
Albany	NY2	Herkimer	NY31	Richmond	NY3
Allegany	NY47	Jefferson	NY9	Rockland	NY20
Bronx	NY3	Kings	NY3	Saratoga	NY2
Broome	NY4	Lewis	NY9	Schenectady	NY2
Cattaraugus	NY8	Livingston	NY30	Schoharie	NY2
Cayuga	NY36	Madison	NY15	Schuyler	NY5
Chautauqua	NY8	Monroe	NY10	Seneca	NY40
Chemung	NY5	Montgomery	NY2	St Lawrence	NY9
Chenango	NY4	Nassau	NY12	Steuben	NY18
Clinton	NY6	New York	NY3	Suffolk	NY12
Columbia	NY2	Niagara	NY11	Sullivan	NY7
Cortland	NY42	Oneida	NY14	Tioga	NY45
Delaware	NY21	Onondaga	NY16	Tompkins	NY24
Dutchess	NY7	Ontario	NY32	Ulster	NY7
Erie	NY8	Orange	NY7	Warren	NY39
Essex	NY6	Orleans	NY34	Washington	NY2
Franklin	NY35	Oswego	NY38	Wayne	NY44
Fulton	NY2	Otsego	NY37	Westchester	NY17
Genesee	NY29	Putnam	NY25	Wyoming	NY41
Greene	NY2	Queens	NY3	Yates	NY33
Hamilton	NY46	Rensselaer	NY2		

Authorized Users MUST obtain a separate PRC # for each OGS item (project) from this contract where prevailing wage rates apply. The PRC # provided in the document is for information and evaluation purposes only.

(continued)

SECTION 4: NYS MAP – NYSDOT REGIONS



(continued)

SECTION 5: ADMINISTRATION

5.1 Contract Administration

The Contractor shall provide a sufficient number of Customer Service employees who are knowledgeable and responsive to Authorized User needs and who can effectively service the Contract. Contractor shall also provide an Emergency Contact in the event of an emergency occurring after business hours or on weekend/holidays.

Contractor shall provide a dedicated Contract Administrator to support the updating and management of the Contract on a timely basis. Contractor must notify OGS within five Business Days if it's Contract Administrator, Emergency Contact, or Customer Service employees change, and provide an interim contact person until the position is filled. Changes shall be submitted electronically via e-mail to the OGS Contract Management Specialist.

(continued)

SECTION 6: TERMS AND CONDITIONS

6.1 Contract Term and Extensions

The Contract will be in effect until December 31, 2019. The Contract term shall commence after all necessary approvals and shall become effective upon mailing or electronic communication of the final executed documents to the Contractor (see Appendix B, Contract Creation/Execution).

All OGS Centralized Contracts resulting from this Solicitation shall have a co-terminus end date. At the State's option, the Contract may be extended for 2 years, in increments as deemed to be in the best interest of the State. Whether the optional extensions are exercised is at the sole discretion of the State. A Contractor shall retain the right to decline a Contract extension offered under this section. Any Contract extension will be under the same terms and conditions, subject to any additional applicable statutory and policy requirements. Any extensions provided under this section shall apply in addition to any rights set forth in Appendix B, Contract Term – Extension.

The Contract term provided for in this section shall extend 6 months beyond its termination date only for Authorized Users whose contracts must be registered with the Office of the New York City Comptroller. During the 6-month period the definition of Authorized User shall be deemed to refer only to Authorized Users whose contracts must be registered with the Office of the New York City Comptroller. This extension is in addition to any other extensions available under the Contract. The extension provided for in this paragraph shall be upon the then-existing terms and conditions; provided, however, during such extension an Authorized User, as defined in this paragraph, may agree to amend such terms and conditions solely to comply with changes in statutory requirements (e.g. changes in minimum, prevailing or living wages, or regulated services).

6.2 Short Term Extension

This section shall apply in addition to any rights set forth in Appendix B, *Contract Term – Extension*. In the event a replacement Contract has not been issued, any Contract let and awarded hereunder by the State may be extended unilaterally by the State for an additional period of up to 30 calendar days upon notice to the Contractor with the same terms and conditions as the original Contract and any approved modifications. With the concurrence of the Contractor, the extension may be for a period of up to 90 calendar days in lieu of 30 calendar days. However, this extension automatically terminates should a replacement Contract be issued in the interim.

6.3 Ordering

Purchase Orders shall be made in accordance with the terms set forth in Appendix B, *Purchase Orders*. Authorized Users may submit orders over the phone, and, if available, may submit orders electronically via web-based ordering, e-mail, or facsimile at any time. Orders submitted shall be deemed received by Contractor on the date submitted.

All orders shall reference Contract number, requisition, and/or Purchase Order number (if applicable). Upon Contractor's receipt of an order, confirmation is to be provided to the Authorized User electronically or via facsimile. Order confirmation should be sufficiently detailed, and include, at a minimum, purchase price, date of order, delivery information (if applicable), Authorized User name, and sales representative (if applicable).

6.4 Purchasing Card Orders

If the Contractor accepts orders using the State's Purchasing Card (see Appendix B, Purchasing Card), also referred to as the Procurement Card, the Contractor shall not charge or bill the Authorized User for any additional charges related to the use of the Purchasing Card, including but not limited to processing charges, surcharges or other fees.

6.5 Minimum Order

There is no minimum order for this Award.

(continued)

6.6 Invoicing and Payment

Invoicing and payment shall be made in accordance with the terms set forth in Appendix B, *Contract Invoicing*.

Payment shall be made at contract prices per net ton for the actual quantity of material placed by the Contractor. Payment shall be made at the contract price per gallon for the actual quantity of tack coat placed by the Contractor.

6.6.1 Quality Adjustment Factor (QAF)(Quality Units)

Payment adjustments will be applied in Quality Units for all applicable mixes as described in Sub-Section 401-3, 402- 4, and 402-5 of the NYSDOT Standard Specifications <https://www.dot.ny.gov/main/business-center/engineering/specifications/updated-standard-specifications-us>. Please refer to that document for a more detailed explanation. The following Index Prices shall be used for all projects contained in this contract:

QUALITY UNIT INDEX PRICES (QAF)	
<u>Region</u>	<u>Index Price (\$/Quality Unit)</u>
1	\$65
2	\$65
3	\$65
4	\$70
5	\$80
6	\$75
7	\$65
8	\$95
9	\$70
10	\$100
11	\$115

(continued)

The Contractor is required to provide the Authorized User with one invoice for each Purchase Order at the time of delivery. The invoice must include detailed line item information to allow Authorized Users to verify that pricing at point of receipt matches the Contract price on the original date of order. At a minimum, the following fields must be included on each invoice:

- Contractor Name
- Contractor Billing Address
- Contractor Federal ID Number
- NYS Vendor ID Number
- Account Number
- NYS Contract Number
- Name of Authorized User indicated on the Purchase Order
- NYS Agency Unit ID (if applicable)
- Authorized User's Purchase Order Number
- Order Date
- Invoice Date
- Invoice Number
- Invoice Amount
- Product Descriptions
- Unit Price
- Quantity
- Unit of Measure
- Dates of Service (if applicable)

Cost centers or branch offices within an Authorized User may require separate invoicing as specified by each Authorized User. The Contractor's billing system shall be flexible enough to meet the needs of varying ordering systems in use by different Authorized Users. Visit the following link for further guidance for vendors on invoicing: <https://bsc.ogs.ny.gov/content/vendor-information>.

6.7 Product Delivery

Delivery shall be made in accordance with instructions on Purchase Order from the Authorized User. If there is a discrepancy between the Purchase Order and what is listed on the Contract, it is the Contractor's obligation to seek clarification from the Authorized User and, if applicable, from the Office of General Services, Procurement Services. Failure to furnish material as agreed upon with authorized representative or violation of shipping instructions, shall be cause for and entitles the State (1) to damages which in its judgment have resulted, or (2) to purchase in the open market at the expense of the contractor. At the discretion of the State, one or both of these courses of action may be followed.

6.7.1 Delivery Ticket

A delivery ticket shall be provided with each load of bituminous material and filler for joints stating the following:

- a. Storage facility identification
- b. Ticket Number
- c. Date/time
- d. Item Number and Type
- e. Quantity ticket printed by machine
- f. Quantity in 60° F gallons for emulsions and PG binder

6.7.2 Quantity Received

It is the responsibility of the Authorized User to ascertain quantities shipped are accurate to the delivery ticket. Each vehicle should be checked for product upon arrival and prior to departure.

(continued)

6.8 NYS Financial System (SFS)

New York State is currently operating on an Enterprise Resource Planning (ERP) system, Oracle PeopleSoft software, referred to as the Statewide Financial System (SFS). SFS is currently on PeopleSoft Financials version 9.2. SFS supports requisition-to-payment processing and financial management functions.

The State is also implementing an eProcurement application that supports the requisitioning process for State Agencies to procure Products in SFS. This application provides catalog capabilities. Contractors with Centralized Contracts have the ability to provide a “hosted” or “punch-out” catalog that integrates with SFS and is available to Authorized Users via a centralized eMarketplace website. Additional information may be found at:

<https://nyspro.ogs.ny.gov/content/nys-emarketplace-1>

There are no fees required for a Contractor's participation in the catalog site development or management. Upon completion and activation of an on-line catalog, State Agencies will process their orders through the SFS functionality and other Authorized Users can access the catalog site to fulfill orders directly.

The State may be implementing additional PeopleSoft modules in the near future. Further information regarding business processes, interfaces, and file layouts currently in place may be found at: <http://www.sfs.ny.gov> and <http://www.osc.state.ny.us/agencies/guide/MyWebHelp/>.

6.9 N.Y. State Finance Law § 139-I

Pursuant to N.Y. State Finance Law § 139-I, every bid made on or after January 1, 2019 to the State or any public department or agency thereof, where competitive bidding is required by statute, rule or regulation, for work or services performed or to be performed or goods sold or to be sold, and where otherwise required by such public department or agency, shall contain a certification that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of N.Y. State Labor Law § 201-g.

N.Y. State Labor Law § 201-g provides requirements for such policy and training and directs the Department of Labor, in consultation with the Division of Human Rights, to create and publish a model sexual harassment prevention guidance document, sexual harassment prevention policy and sexual harassment prevention training program that employers may utilize to meet the requirements of N.Y. State Labor Law § 201-g. The model sexual harassment prevention policy, model sexual harassment training materials, and further guidance for employers, can be found online at the following URL: <https://www.ny.gov/combating-sexual-harassment-workplace/employers>.

Pursuant to N.Y. State Finance Law § 139-I, any bid by a corporate bidder containing the certification required above shall be deemed to have been authorized by the board of directors of such bidder, and such authorization shall be deemed to include the signing and submission of such bid and the inclusion therein of such statement as the act and deed of the bidder.

If the Bidder cannot make the required certification, such Bidder shall so state and shall furnish with the bid a signed statement that sets forth in detail the reasons that the Bidder cannot make the certification. After review and consideration of such statement, OGS may reject the bid or may decide that there are sufficient reasons to accept the bid without such certification.

The certification required above can be found on Attachment 2 – *NYS Required Certifications* originally published with the bid documents, which Bidder must submit with its bid.

6.10 Insurance

The Contractor shall maintain in force at all times during the terms of the Contract, policies of insurance pursuant to the requirements outlined in *Insurance Requirements*. Upon award proof of OCP insurance will be required at the pre-paving conference with NYSDOT.

(continued)

6.11 Report of Contract Usage

Contractor shall submit *Report of Contract Usage* including total sales to Authorized Users of this Contract by Contractor, and all authorized resellers, dealers and distributors, if any, no later than 30 days after the close of the contract.

Contractors shall specify if any authorized resellers, dealers or distributors are NYS Certified Minority- and/or Women-Owned Business Enterprises (MWBES), small business enterprises (SBEs), or Service-Disabled Veteran-Owned Businesses (SDVOBs).

The report is to be submitted electronically via e-mail in Microsoft Excel to OGS Procurement Services, to the attention of the individual listed on the front page of the Contract Award Notification and shall reference the Contract Group Number, Award Number, Contract Number, Sales Period, and Contractor's name.

The *Report of Contract Usage* contains the minimum information required. Additional related sales information, such as detailed user purchases may be required by OGS and must be supplied upon request. Failure to submit reports on a timely basis may result in Contract cancellation and designation of Contractor as non-responsible.

6.12 Contractor Requirements and Procedures for Participation by New York State Certified Minority- and Women-Owned Business Enterprises and Equal Employment Opportunities for Minority Group Members and Women / DBE Utilization and Equal Employment Opportunity Requirements for Federally Funded Projects

The requirements set forth in this Section 6.17 do not apply to projects within the scope of this award that are federally funded. Federally funded projects are governed by 49 CFR 26 titled: *Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs*.

Bidder/Contractor shall refer to *Federal Aid Project Requirements (Chapter 12 – Appendix 12-1)* for additional guidance on the DBE Utilization and Equal Employment Opportunity requirements applicable to all federally funded projects.

I. New York State Law

Pursuant to New York State Executive Law Article 15-A and Parts 140-145 of Title 5 of the New York Codes, Rules and Regulations ("NYCRR"), the New York State Office of General Services ("OGS") is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-Owned Business Enterprises ("MWBES") and the employment of minority group members and women in the performance of OGS contracts.

II. General Provisions

- A. OGS is required to implement the provisions of New York State Executive Law Article 15-A and 5 NYCRR Parts 140-145 ("MWBE Regulations") for all State contracts as defined therein, with a value (1) in excess of \$25,000 for labor, services, equipment, materials, or any combination of the foregoing or (2) in excess of \$100,000 for real property renovations and construction.
- B. The Contractor agrees, in addition to any other nondiscrimination provision of the Contract and at no additional cost to OGS, to fully comply and cooperate with OGS in the implementation of New York State Executive Law Article 15-A and the regulations promulgated thereunder. These requirements include equal employment opportunities for minority group members and women ("EEO") and contracting opportunities for MWBEs. Contractor's demonstration of "good faith efforts" pursuant to 5 NYCRR § 142.8 shall be a part of these requirements. These provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New

(continued)

York State Executive Law Article 15 (the “Human Rights Law”) or other applicable federal, State, or local laws.

- C. Failure to comply with all of the requirements herein may result in a finding of non-responsiveness, a finding of non-responsibility, breach of contract, withholding of funds, suspension or termination of the Contract, and/or such other actions or enforcement proceedings as allowed by the Contract and applicable law.

III. Equal Employment Opportunity (EEO)

- A. The provisions of Article 15-A of the Executive Law and the rules and regulations promulgated thereunder pertaining to equal employment opportunities for minority group members and women shall apply to all Contractors, and any subcontractors, awarded a subcontract over \$25,000 for labor, services, including legal, financial and other professional services, travel, supplies, equipment, materials, or any combination of the foregoing, to be performed for, or rendered or furnished to, the contracting State agency (the “Work”) except where the Work is for the beneficial use of the Contractor.

- 1. Contractor and subcontractors shall undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability, or marital status. For these purposes, EEO shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff or termination, and rates of pay or other forms of compensation. This requirement does not apply to: (i) the performance of work or the provision of services or any other activity that is unrelated, separate, or distinct from the Contract; or (ii) employment outside New York State.
- 2. By entering into this Contract, Contractor certifies that the text set forth in clause 12 of Appendix A, attached hereto and made a part hereof, is Contractor's equal employment opportunity policy. In addition, Contractor agrees to comply with the Non-Discrimination Requirements set forth in clause 5 of Appendix A.

- B. **Form EEO 100 – Staffing Plan**

To ensure compliance with this section, the Contractor agrees to submit, or has submitted with the Bid, a staffing plan on Form EEO 100 to OGS to document the composition of the proposed workforce to be utilized in the performance of the Contract by the specified categories listed, including ethnic background, gender, and federal occupational categories.

- C. **Form EEO - 101 - Workforce Utilization Reporting Form (Commodities and Services) (“Form EEO-101-Commodities and Services”)**

- 1. The Contractor shall submit, and shall require each of its subcontractors to submit, a Form EEO-101-Commodities and Services to OGS to report the actual workforce utilized in the performance of the Contract by the specified categories listed including ethnic background, gender, and Federal occupational categories. The Form EEO-101-Commodities and Services must be submitted electronically to OGS at EEO_CentCon@ogs.ny.gov on a quarterly basis during the term of the Contract by the 10th day of April, July, October, and January.
- 2. Separate forms shall be completed by Contractor and all subcontractors.
- 3. In limited instances, the Contractor or subcontractor may not be able to separate out the workforce utilized in the performance of the Contract from its total workforce. When a separation can be made, the Contractor or subcontractor shall submit the Form EEO-101-Commodities and Services and indicate that the information provided relates to the actual workforce utilized on the Contract. When the workforce to be utilized on the Contract cannot be separated out from the Contractor's or subcontractor's total workforce, the Contractor or subcontractor shall submit the Form EEO-101-Commodities and Services and indicate that the information provided is the Contractor's or subcontractor's total workforce during the subject time frame, not limited to work specifically performed under the Contract.

(continued)

- D. Contractor shall comply with the provisions of the Human Rights Law and all other State and federal statutory and constitutional non-discrimination provisions. Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status, or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal and conviction and prior arrest.

IV. Contract Goals

- A. For purposes of this procurement, OGS conducted a comprehensive search and determined that the Contract does not offer sufficient opportunities to set goals for participation by MWBEs as subcontractors, service providers, or suppliers to Contractor. Contractor is, however, encouraged to make every good faith effort to promote and assist the participation of MWBEs on this Contract for the provision of services and materials. The directory of New York State Certified MWBEs can be viewed at:

<https://ny.newnycontracts.com/FrontEnd/VendorSearchPublic.asp?TN=ny&XID=2528>.

Additionally, following Contract execution, Contractor is encouraged to contact the Division of Minority and Women's Business Development ((518) 292-5250; (212) 803-2414; or (716) 846-8200) to discuss additional methods of maximizing participation by MWBEs on the Contract.

B. Good Faith Efforts

Pursuant to 5 NYCRR § 142.8, evidence of good faith efforts shall include, but not be limited to, the following:

1. A list of the general circulation, trade, and MWBE-oriented publications and dates of publications in which the Contractor solicited the participation of certified MWBEs as subcontractors/suppliers, copies of such solicitations, and any responses thereto.
2. A list of the certified MWBEs appearing in the Empire State Development ("ESD") MWBE directory that were solicited for this Contract. Provide proof of dates or copies of the solicitations and copies of the responses made by the certified MWBEs. Describe specific reasons that responding certified MWBEs were not selected.
3. Descriptions of the Contract documents/plans/specifications made available to certified MWBEs by the Contractor when soliciting their participation and steps taken to structure the scope of work for the purpose of subcontracting with, or obtaining supplies from, certified MWBEs.
4. A description of the negotiations between the Contractor and certified MWBEs for the purposes of complying with the MWBE goals of this Contract.
5. Dates of any pre-bid, pre-award, or other meetings attended by Contractor, if any, scheduled by OGS with certified MWBEs whom OGS determined were capable of fulfilling the MWBE goals set in the Contract.
6. Other information deemed relevant to the request.

V. Fraud

Any suspicion of fraud, waste, or abuse involving the contracting or certification of MWBEs shall be immediately reported to ESD's Division of Minority and Women's Business Development at (855) 373-4692.

ALL FORMS ARE AVAILABLE AT: <http://www.ogs.ny.gov/MWBE/Forms.asp>

(continued)

6.13 Participation Opportunities For New York State Certified Service-Disabled Veteran Owned Businesses

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”), thereby further integrating such businesses into New York State’s economy. OGS recognizes the need to promote the employment of service-disabled veterans and to ensure that certified service-disabled veteran-owned businesses have opportunities for maximum feasible participation in the performance of OGS contracts.

In recognition of the service and sacrifices made by service-disabled veterans and in recognition of their economic activity in doing business in New York State, Bidders/Contractors are strongly encouraged and expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles.

For purposes of this procurement, OGS conducted a comprehensive search and determined that the Contract does not offer sufficient opportunities to set specific goals for participation by SDVOBs as subcontractors, service providers, and suppliers to Contractor. Nevertheless, Bidder/Contractor is encouraged to make good faith efforts to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials. The directory of New York State Certified SDVOBs can be viewed at: <https://ogs.ny.gov/Veterans/default.asp>

Contractor is encouraged to contact the Division of Service-Disabled Veteran’s Business Development at 518-474-2015 to discuss methods of maximizing participation by SDVOBs on the Contract.

ALL FORMS ARE AVAILABLE AT: <https://ogs.ny.gov/Veterans/default.asp>

6.14 Use of Recycled or Remanufactured Materials

New York State supports and encourages Contractors to use recycled, remanufactured or recovered materials in the manufacture of Products and packaging to the maximum extent practicable without jeopardizing the performance or intended end use of the Product or packaging unless such use is precluded due to health or safety requirements or Product specifications contained herein. Refurbished or remanufactured components or Products are required to be restored to original performance and regulatory standards and functions and are required to meet all other requirements of this Solicitation. Warranties on refurbished or remanufactured components or Products must be identical to the manufacturer’s new equipment warranty or industry’s normal warranty when remanufacturer does not offer new equipment. See Appendix B, *Remanufactured, Recycled, Recyclable or Recovered Materials*.

6.15 Environmental Attributes and NYS Executive Order Number 4

New York State is committed to environmental sustainability and endeavors to procure Products with reduced environmental impact. One example of this commitment may be found in Executive Order No. 4 (Establishing a State Green Procurement and Agency Sustainability Program), which imposes certain requirements on State Agencies, authorities, and public benefit corporations when procuring Products. More information on Executive Order No. 4, including specifications for offerings covered by this Contract, may be found at <https://www.ogs.ny.gov/greenny/>. State entities subject to Executive Order No. 4 are advised to become familiar with the specifications that have been developed in accordance with the Order, and to incorporate them, as applicable, when making purchases under this Contract.

6.16 Consumer Products Containing Mercury

Contractor shall comply with the requirements of Title 21 of Article 27 of the NYS Environmental Conservation Law regarding restrictions on the sale, purchasing, labeling and management of any products containing elemental mercury under this Contract.

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6.19 Drug and Alcohol Use Prohibited

For reasons of safety and public policy, in any Contract resulting from this Solicitation, the use of alcoholic beverages or illegal drugs by the Contractor's personnel shall not be permitted in performance of the Contract.

6.20 Traffic Infractions

Neither the State nor Authorized Users will be liable for any expense incurred by the Contractor's personnel for any parking fees or as a consequence of any traffic infraction or parking violation attributable to employees of the Contractor in performance of the Contract.

6.21 Federal Aid Project Requirements (Chapter 12 - Appendix 12- 1)

Federal Aid Project Requirements (Chapter 12 - Appendix 12-1) setting forth certain federally required contract provisions dated January 2019, is hereby expressly made a part of this award as fully as if set forth at length herein. Please retain this document for future reference.

(continued)

State of New York
Office of General Services
PROCUREMENT SERVICES
Contract Performance Report

Please take a moment to let us know how this contract award has measured up to your expectations. If reporting on more than one contractor or product, please make copies as needed. This office will use the information to improve our contract award, where appropriate. **Comments should include those of the product's end user.**

Contract No.: _____ Contractor: _____

Describe Product* Provided (Include Item No., if available): _____

***Note:** "Product" is defined as a deliverable under any Bid or Contract, which may include commodities (including printing), services and/or technology. The term "Product" includes Licensed Software.

	Excellent	Good	Acceptable	Unacceptable
• Product meets your needs				
• Product meets contract specifications				
• Pricing				

CONTRACTOR

	Excellent	Good	Acceptable	Unacceptable
• Timeliness of delivery				
• Completeness of order (fill rate)				
• Responsiveness to inquiries				
• Employee courtesy				
• Problem resolution				

Comments: _____

_____ (over)

Agency: _____ Prepared by: _____

Address: _____ Title: _____

_____ Date: _____

_____ Phone: _____

_____ E-mail: _____

Please detach or photocopy this form & return via email to Brandy.Alden@ogs.ny.gov or mail to:

OGS PROCUREMENT SERVICES
Customer Services, 38th Floor
Attn: Brandy Alden
Corning 2nd Tower - Empire State Plaza
Albany, New York 12242

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