



Contract Award Notification

Title	:	Group 31503 – Bituminous Concrete Warm Mix Asphalt (2022 VPP NYSDOT Specific Projects) (Federal & State Funds)
		Classification Code(s): 30
Award Number	:	23258
Contract Period	:	April 29, 2022 through December 31, 2022
Bid Opening Date	:	March 2, 2022
Date of Issue	:	April 29, 2022
Specification Reference	:	SPEC 944 dated November 19, 2021
Contractor Information	:	Appears on starting on Page 3 of this Award

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**Procurement Services values your input.
Complete and return "Contract Performance Report" at end of document.**

Description

This Contract is issued by the New York State Office of General Services Procurement Services for Bituminous Concrete – Warm Mix Asphalt as specified herein for the NYS Department of Transportation. The Contract is for specific NYSDOT projects ONLY.

Bituminous Concrete is a mixture of stone of various sizes and liquid material. The mixture is heated and proportioned in a bituminous concrete plant and compacted on a road in a warm state. Once the material cools, it becomes a hard durable material. Bituminous concrete is used for preventive maintenance activities which ensure that highways and bridges meet or exceed their optimum useful life.

This Award has 0% MBE, 0% WBE and 0% SDVOB goal requirements.

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SECTION 1: INTRODUCTION

1.1 Contractor Information

NOTE: See individual contract items to determine actual awardees.

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69564 SB	Amherst Paving Inc 330 Meyer Rd Amherst, NY 14226	Contact: Glen Willis Phone #: 585-993-7265 Title: Project Manager Email: Willisg333@gmail.com	16-1143301 1000015435
<i>Contractor accepts NYS Procurement Card for orders up to \$50,000</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 585-993-7265 Contact: Glen Willis Email: Willisg333@gmail.com		Phone #: 716-863-2906 Contact: David Roetzer Email: pavindave1@gmail.com	
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69565	Barrett Paving Materials Inc 26572 NYS Route 37 Watertown, NY 13601	Contact: Patrick Dewey Phone #: 315-788-2037 Title: Construction Manager Email: PDewey@barrettpaving.com	13-3003901 1000038867
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 315-788-2037 Contact: Patrick Dewey Email: PDewey@barrettpaving.com			
Business Hours: 7:00am – 4:30pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69566	Callanan Industries, Inc PO Box 15097 Albany, NY 12212	Contact: Raquel Van Hoesen Phone #: 518-374-2222 Title: Contract Administrator Email: Raquel.Czelusniak@callanan.com	14-1539261 1000027416
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 518-374-2222 Contact: Michael Mastropietro Email: MMastropietro@callanan.com		Phone #: 518-788-4849 Contact: Nick Duncan Email: NDuncan@callanan.com	
Business Hours: 7:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69567	Cobleskill Stone Products, Inc 112 Rock Road Cobleskill, NY 12043	Contact: Daniel Kleeschulte Phone #: 518-378-9694 Title: Vice President Email: DKleeschulte@cobleskillstone.com	14-1646795 1000006949
<i>Contractor accepts NYS Procurement Card for orders up to \$50,000</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 518-365-1736 Contact: Mike M. Moore Email: MMoore@cobleskillstone.com			
Business Hours: 6:00am – 4:30pm (M-F) 7:00am – Noon (Sa)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69568 SB	Concrete Applied Technologies Corp d/b/a CATCO 1266 Townline Road Alden, NY 14004	Contact: Aaron Stisser Phone #: 716-651-4642 Title: Comptroller Email: AaronStisser@catco.com	16-1481049 1000008259
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 716-651-4642 Contact: Aaron Stisser Email: AaronStisser@catco.com		Phone #: 716-880-5458 Contact: Jenna Salvadore Email: JennaSalvadore@catco.com	
Business Hours: 6:00am – 4:30pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69569	D&H Excavating, Inc 11939 Route 98 South Arcade, NY 14009	Contact: George DeWald Phone #: 716-492-4956 Title: Estimator/Project Manager Email: George@dhexc.com	16-1459606 1000049326
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 716-492-4956 Contact: George DeWald Email: George@dhexc.com		Phone #: 716-560-6262 Contact: Eldon King III	
Business Hours: 7:30am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69570 SB	Dalrymple Gravel & Contracting Co., Inc 2105 South Broadway Pine City, NY 14871	Contact: Jeanne Buckbee Phone #: 607-737-6200 x216 Title: Contract Administration Email: jbuckbee@dalgravel.com	16-0399910 1000007432
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 607-737-6200 x210		Phone #: 607-259-7715	
Contact: Margaret Collins			
Email: estimating@dalgravel.com			
Business Hours: 8:00am – 4:30pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69571	Dolomite Products Company, Inc d/b/a A.L. Blades 7610 County Route 65 Hornell, NY 14843	Contact: Cindy Mix Phone #: 607-324-3636 Title: Admin Assistant Email: CMix@dolomitegroup.com	16-0410930 1000007433
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 607-324-3636		Phone #: 607-968-1154	
Contact: Robert Mitchell			
Email: RMitchell@dolomitegroup.com			
Business Hours: 7:00am – 3:45pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69572	Hanson Aggregates New York LLC 4800 Jamesville Road PO Box 513 Jamesville, NY 13078	Contact: James Lemura Phone #: 315-469-5502 Title: Construction Manager Email: James.Lemura@lehighhanson.com	16-0928494 1000028456
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 315-469-5502			
Contact: James Lemura			
Email: James.Lemura@lehighhanson.com			
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69573 SB	Keeler Construction Co., Inc 13519 West Lee Road Albion, NY 14411	Contact: Rachael Spearance Phone #: 585-589-4481 Title: Accounts Receivable Email: RachaelS@keelerconstruction.com	16-0844121 1000039538
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 585-589-4481 Contact: Mark D. Keeler Email: MKeeler@keelerconstruction.com			
Business Hours: 7:00am – 5:00pm (M-F) 7:00am – Noon (Sa)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69574	Kubricky Construction Corp 269 Ballard Road Wilton, NY 12831	Contact: Suzanne Olden Phone #: 518-792-5864 Title: Corporate Secretary Email: SOlden@dacollins.com	14-1491816 1000006752
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 518-337-0429 Contact: Adam Slattery Email: ASlattery@dacollins.com		Phone #: 518-361-1200 Contact: Jamie Flynn Email: JFlynn@dacollins.com	
Business Hours: 7:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69575	Lancaster Development, Inc 145 Podpadic Road Richmondville, NY 12149	Contact: Darren W. Collins Phone #: 518-294-9964 Title: VP of Contract Admin Email: DCollins@lancdev.com	16-0723953 1000007465
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 518-294-9964 Contact: Darren W. Collins Email: DCollins@lancdev.com		Phone #: 518-294-9964 Contact: Mark A. Galasso Email: Mag2@lancdev.com	
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69576	Mark Cerrone, Inc 2368 Maryland Ave Niagara Falls, NY 14305	Contact: Jeffrey Salvatore Phone #: 716-282-5244 Title: Chief Estimator Email: JSalvatore@markcerrone.com	16-1567314 1000008387
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 716-282-5244 Contact: Jeffrey Salvatore Email: JSalvatore@markcerrone.com		Phone #: 716-282-5244 Contact: Tom Johnston Email: TJohnston@markcerrone.com	
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69577	New Castle Paving LLC 1 Madison St, Suite 100 Troy, NY 12180	Contact: Amy Bevevino Phone #: 518-275-0226 Title: Project Admin Email: ABevevino@newcastlepaving.com	35-2548259 1100160288
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone # 518-275-0226 Contact: Amy Bevevino Email: ABevevino@newcastlepaving.com		Phone # 518-378-3421 Contact: Dale Swartwout Email: DSwartwout@newcastlepaving.com	
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69578 SB	Noel J. Brunell & Son, Inc 3997 State Route 22 Plattsburgh, NY 12901	Contact: Emilie Simoneau Phone #: 518-561-1290 Title: President Email: Emilie@brunellasphalt.com	14-1456087 1000051434
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone # 518-561-1290 Contact: Emilie Simoneau Email: Emilie@brunellasphalt.com			
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69579 SB	Northern Asphalt LLC 6131 East Taft Road North Syracuse, NY 13212	Contact: Dale Smith Toll-Free #: 315-433-5115 Phone #: 585-370-0484 Title: VP Paving Operations Email: Dale.Smith@riccellienterprises.com	46-5087422 1100176439
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 585-370-0484 Contact: Dale Smith Email: Dale.Smith@riccellienterprises.com		Phone # 585-370-4002 Contact Richard Riccelli Email RichR@riccellienterprises.com	
Business Hours: 8:00am – 5:00pm (M-F) 8:00am – Noon (Sa, Sun)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69580	Oakgrove Construction Inc 6900 Seneca St PO Box 103 Elma, NY 14059	Contact: Amy Kelly Phone #: 716-652-2200 Title: Controller Email: AmyM@oakgroveconst.com	16-0846585 1000007541
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 716-652-2200 Contact: David L. Bittar Email: DaveB@oakgroveconst.com			
Business Hours: 8:00am – 4:30pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69581	Peckham Road Corp 438 Vaughn Road Hudson Falls, NY 12839	Contact: Dominique Bisignano Phone #: 518-792-3157 Title: Contract Admin Email: DBisi@peckham.com	13-3493213 1000006385
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 518-792-3157 Contact: Tyler Pratt Email: prc@peckham.com		Phone #: 914-357-1842 Contact: Gregory Peckham Email: Gregory@peckham.com	
Business Hours: 7:00am – 4:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69582 SB	Seneca Stone Corporation 2105 S. Broadway Pine City, NY 14871	Contact: Sandra Strong Phone #: 607-738-9033 Title: Contract Admin Email: SStrong@dalholding.com	16-1124518 1000007782
<i>Contractor accepts NYS Procurement Card for orders up to \$5,000.</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone #: 607-738-1076 Contact: James E. Dalrymple Email: estimating@senecastone.com			
Business Hours: 8:00am – 5:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contract Admin</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69583 SB	Spallina Materials, Inc PO Box 89 West Bloomfield, NY 14585	Contact: Todd Krenzer Phone #: 585-233-8084 Title: Quality Control Manager Email: TKrenzer@spallinamaterials.com	20-4484008 1000008635
<i>Contractor accepts NYS Procurement Card for orders up to \$50,000</i>			
<u>Contact for NYS Contract Orders & Emergency or Weekends/Holidays</u>			
Phone # 585-658-2248 Contact Todd Krenzer Email TKrenzer@spallinamaterials.com			
Business Hours: 7:00am – 4:00pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contact Information</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69584	Suit-Kote Corporation 1911 Lorings Crossing Rd Cortland, NY 13045	Contact: Michael Murphy Phone #: 315-238-7053 x350 Contact: Michael Murphy Email: MMurphy@suit-kote.com	16-1177189 1000007846
<i>Contractor does not accept NYS Procurement Card</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 315-238-7053 x350 Contact: Michael Murphy Email: MMurphy@suit-kote.com		Phone #: 607-591-9234 Contact: Joe Perrone Email: JPerrone@suit-kote.com	
Business Hours: 7:30am – 4:30pm (M-F)			

<u>Contract #</u>	<u>Contractor Name & Address</u>	<u>Contact Information</u>	<u>Fed. ID #/ NYS Vendor ID #</u>
PC69585	Villager Construction Inc 425 Old Macedon Center Rd Fairport, NY 14450	Contact: Casey Smith Phone #: 585-223-7697 Title: Manager Email: CSmith@villagerci.com	16-1146699 1000015440
<i>Contractor accepts NYS Procurement Card for orders up to \$50,000.</i>			
<u>Contact for NYS Contract Orders</u>		<u>Emergency or Weekends/Holidays</u>	
Phone #: 585-223-7697		Phone #: 585-223-7697	
Contact: Taylor Wilchenski		Contact: Timothy Lawless	
Email: frontdesk@villagerci.com		Email: TLawless@villagerci.com	
Business Hours: 8:00am – 5:00pm (M-F)			

Cash Discount, If Shown, Should be Given Special Attention.

Agencies should notify New York State Procurement Services promptly if the Contractor fails to meet delivery or other terms of this contract. Products or services which do not comply with the specifications or are otherwise unsatisfactory to the Authorized User should also be reported to Procurement Services.

1.2 Small, Minority, and Women-Owned Businesses:

The letters SB listed under the Contract Number indicate the contractor is a NYS small business. Additionally, the letters MBE and WBE indicate the contractor is a Minority-owned Business Enterprise and/or Woman-owned Business Enterprise.

1.3 Recycled, Remanufactured and Energy Efficient Products:

Procurement Services supports and encourages the purchase of recycled, remanufactured, energy efficient and "energy star" products. If one of the following codes appears as a suffix in the Award Number or is noted under the individual Contract Number(s) in this Contract Award Notification, please look at the individual awarded items for more information on products meeting the suffix description.

RS, RP, RA	Recycled
RM	Remanufactured
SW	Solid Waste Impact
EE	Energy Efficient
E*	EPA Energy Star
ES	Environmentally Sensitive

1.4 Note to Authorized Users:

When placing purchase orders under the contract(s), the authorized user should be familiar with and follow the terms and conditions governing its use which usually appears at the end of this document. The authorized user is accountable and responsible for compliance with the requirements of public procurement processes. The authorized user must periodically sample the results of its procurements to determine its compliance. In sampling its procurements, an authorized user should test for reasonableness of results to ensure that such results can withstand public scrutiny.

The authorized user, when purchasing from OGS contracts, should hold the contractor accountable for contract compliance and meeting the contract terms, conditions, specifications, and other requirements. Also, in recognition of market fluctuations over time, authorized users are encouraged to seek improved pricing whenever possible.

Authorized users have the responsibility to document purchases, particularly when using OGS multiple award contracts for the same or similar product(s)/service(s), which should include:

- a statement of need and associated requirements,
- a summary of the contract alternatives considered for the purchase,
- the reason(s) supporting the resulting purchase (e.g., show the basis for the selection among multiple contracts at the time of purchase was the most practical and economical alternative and was in the best interests of the State).

1.5 Estimated Quantities

A Contract resulting from this Solicitation shall be an estimated quantity Contract. No specific quantities are represented or guaranteed and the State provides no guarantee of individual Authorized User participation. The Contractor must furnish all quantities actually ordered at or below the Contract prices. The anticipated dollar value of the award for this Solicitation, based on historical purchases under previous awards, is approximately \$136,000,000 annually. The individual value of each resultant Contract is indeterminate and will depend upon the number of Contracts issued and the competitiveness of the pricing offered. Authorized Users will be encouraged to purchase from Contractors who offer the Products and pricing that best meet their needs in the most practical and economical manner. See Appendix B, Estimated/Specific Quantity Contracts and Participation in Centralized Contracts.

Numerous factors could cause the actual quantities of Products purchased under a Contract resulting from this Solicitation to vary substantially from the estimates in the Solicitation. Such factors include, but are not limited to, the following:

- Such Contracts may be non-exclusive Contracts.
- There is no guarantee of quantities to be purchased, nor is there any guarantee that demand will continue in any manner consistent with previous purchases.
- The individual value of each Contract is indeterminate and will depend upon actual Authorized User demand and actual quantities ordered during the contract period.
- The State reserves the right to terminate any Contract for cause or convenience prior to the end of the term pursuant to the terms and conditions of the Contract.
- Contract pricing that is lower than anticipated could result in a higher quantity of purchases by Authorized Users than anticipated.
- Contract pricing that is higher than anticipated could result in a lower quantity of purchases by Authorized Users than anticipated.

By submitting a Bid, Bidder acknowledges the foregoing and agrees that actual good faith purchasing volumes during the term of the resulting Contracts could vary substantially from the estimates provided in this Solicitation.

SECTION 2: PRICING

2.1 Award Pricing and Superpave WMA Tables

Please refer to the price pages link published on the webpage for this contract at the OGS Procurement Services website:

<https://ogs.ny.gov/procurement/ogs-centralized-contracts>

2.2 Price

Price quoted shall be net per ton, furnished, delivered, dumped into approved spreading machines, placed, and compacted totally by the Contractor. The existing bituminous concrete surface (and any surfaces included in this contract that will be overlaid by this contract) shall be treated with tack coat.

Tack coat shall be paid under its own item. The price quoted for the tack coat shall include furnishing, delivering, and applying the tack coat as indicated. Price adjustments, if any, will be calculated based on the material actually furnished.

The vendor is to furnish all necessary labor and equipment to complete the indicated projects except that the State will supervise and control the operation. Permanent pavement striping will be the responsibility of the State upon completion of the paving after the vendor has vacated each project site. The equipment supplied to place the warm mix asphalt shall meet the requirements of Section 404 of the New York State Department of Transportation Standard Specifications. The equipment supplied to place the tack coat shall meet the requirements of Section 407 of the New York State Department of Transportation Standard Specifications.

Warm mix asphalt pavers shall meet the requirements of Sub-Section 404-3.02, Hot Mix Pavers, of the New York Department of Transportation Standard Specifications. Compaction equipment shall meet the requirements of Sub-Section 404-3.04, Rollers of the Specification. All necessary operators shall be supplied along with the warm mix asphalt paver, rollers and distributor.

The approved warm mix asphalt pavers shall be capable of simultaneously paving the travel lanes and the shoulders as indicated in the *Project Dimensions* tab of Attachment 12 – *Superpave Warm Mix Asphalt Tables*. All personnel supplied for the paving shall be qualified and experienced in warm mix asphalt paving.

Price bid shall include all required insurance coverage costs.

2.3 Asphalt Price Adjustment

2.3.1 General

- a. Asphalt price adjustments allowed will be based on the January 2022 average of the F.O.B. terminal price per ton of unmodified PG 64S-22 binder without anti-stripping agent (base average F.O.B. terminal price) for the warm mix asphalt and tack coat.

The January 2022 average is \$580,000.

The new monthly average terminal price will be determined by the New York State Department of Transportation based on prices of pre-approved primary sources of performance graded binder in accordance with the New York State Department of Transportation Standard Specification.

NOTE: The same grade of asphalt cement used in establishing the base average F.O.B. terminal price shall be used in establishing the new average F.O.B. terminal price.

In the event that one or more of the New York State Department of Transportation pre-approved sources discontinue posting a price for asphalt cement, the base average F.O.B. terminal **price shall not be recalculated.**

- b. The new average F.O.B. terminal price will be determined based on the above F.O.B. terminal prices posted each month, hereafter known as the “Adjustment Date”, during the contract period. However, asphalt price adjustments, in accordance with the formula below, will be effective for deliveries made on and after the first of the month following the adjustment date.
- c. The unit prices per ton of warm mix asphalt (WMA) and per gallon of tack coat purchased from any award based on this specification will be subject to adjustment based on the following formula:

Warm Mix Asphalt

Price Adjustment (per ton)	=	(New Monthly Average FOB Terminal Price	–	Base Average FOB Terminal Price)	X	Total % Asphalt Plus Fuel Allowance
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Tack Coat

Price Adjustment (per gallon)	=	(New Monthly Average FOB Terminal Price	–	Base Average FOB Terminal Price)	X	Total % Asphalt Plus Fuel Allowance
			235					

Positive Price Adjustment number shall be added to original per ton/gallon Bid Price.

Negative Price Adjustment number shall be subtracted from original per ton/gallon Bid Price.

New Monthly Average F.O.B. Terminal Price

The average F.O.B. terminal price for unmodified PG 64S-22 binder without anti-stripping agent is as determined by the New York State Department of Transportation per New York State Department of Transportation Standard Specification.

Base Average F.O.B. Terminal Price

The average F.O.B. terminal price of unmodified PG 64S-22 binder without anti-stripping agent is as determined by the New York State Department of Transportation as of January 2022.

Total % Asphalt plus Fuel

The percentage of total allowable asphalt and fuel for each item is as follows:

Material Designation	Asphalt %	+Fuel Allowance %	Total % Asphalt Plus Fuel
404.017901	****	1	****
404.018901	****	1	****
404.058901	8.25	1	9.25%
404.068X01	6.70	1	7.70%
404.09XX01	6.20	1	7.20%
404.12XX01	5.50	1	6.50%
404.19XX01	4.90	1	5.90%
407.0102 - Diluted Tack Coat	40.00	0.2	40.20%
407.0103 - Straight Tack Coat	55.00	0.2	55.20%
407.01040009 – non-Tracking Tack Coat	50.00	0.2	50.20%

****The conversion factor for Truing & Leveling will be computed separately using the conversion factors for the individual mixtures used.

+Fuel Allowance represents allowance for energy (fuel, electricity, natural gas) used in the production of asphalt. It is a cost associated with the product and not intended to represent any trucking or hauling of product.

- a. Work performed after the expiration of the contract, where no extension has been granted, resultant from purchase orders placed prior to expiration of the contract will receive the asphalt price adjustments applicable in effect during the last month of the contract.

Asphalt Price Adjustments for any contracts that are extended will be based on the new average for the month in which the work is done applying the same base established for that contract.
- b. Asphalt price adjustments allowed by this contract shall be calculated and applied to the original prices. There will not be asphalt price adjustments unless the change amounts to more than \$0.10 per ton from the original price for the warm mix and \$0.0170 per gallon for the tack coat. In these instances, prices will revert back to the original prices.
- c. All asphalt price adjustments will be computed to three decimal places.
- d. Should these provisions result in a price structure which becomes unworkable, detrimental or injurious to the State or in prices which are not truly reflective of market conditions or which are deemed by the Commissioner to be unreasonable or excessive, and no adjustment in price is mutually agreeable, the Commissioner reserves the sole right upon ten days written notice mailed to the Contractor to terminate any contract resulting from this bid opening.
- e. All asphalt price adjustments shall be published by the State and issued to all contract holders whose responsibility will be to attach the appropriate State notification (based on when the work was performed) to the payment invoice submitted to agency.

2.3.2 Asphalt Price Adjustment for Warm Mix Asphalt: Example

Example of Positive Price Adjustment - Warm Mix Asphalt

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 404.09XX01

Item 404.09XX01 Bid Price = \$70.000 (example)

Base Average F.O.B Terminal Price = \$580.000 (fixed for the duration of the contract)

New Monthly Average F.O.B. Terminal Price = \$590.000 (example, it changes each month)

Total % Asphalt plus Fuel for Item 404.09XX01 = 7.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 404.09XX01 (example):

Price Adjustment	=	$\left(\begin{array}{l} \text{New Monthly} \\ \text{Average F.O.B.} \\ \text{Terminal Price} \end{array} - \begin{array}{l} \text{Base Average} \\ \text{F.O.B.} \\ \text{Terminal Price} \end{array} \right) \times$	Total % Asphalt (Per Ton) Plus Fuel Allowance
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$(\$590.000 - \$580.000) = \$10.000 \times 0.072 = \mathbf{+\$0.720 \text{ per ton}}$

Positive Price Adjustment number shall be added to original per ton Bid Price.

Contract price for Item 404.09XX01 including the new Price Adjustment for that month (example):

Contract Price = Bid Price + Monthly Price Adjustment

Contract Price = \$70.000 + \$0.720 = **\$70.720 per ton**

Example of Negative Price Adjustment- Warm Mix Asphalt

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 404.09XX01

Item 404.09XX01 Bid Price = \$70.000 (example)

Base Average F.O.B. Terminal Price = \$580.000 (fixed for the duration of the contract)

New Monthly Average F.O.B. Terminal Price = \$570.000 (example, it changes each month)

Total % Asphalt plus Fuel for Item 404.09XX01 = 7.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 404.09XX01 (example):

Price Adjustment	=	$\left(\begin{array}{c} \text{New Monthly Average F.O.B. Terminal Price} \\ - \\ \text{Base Average F.O.B. Terminal Price} \end{array} \right) \times \begin{array}{c} \text{Total \% Asphalt (Per Ton) Plus Fuel Allowance} \end{array}$
(\$570.000	-	\$580.000) = -\$10.000 x 0.0720 = -\$0.720 per ton

Negative Price Adjustment number shall be subtracted from original per ton Bid Price.

Contract price for Item 404.09XX01 including the new Price Adjustment for that month (example):

Contract Price = Bid Price - Monthly Price Adjustment

Contract Price = \$70.000 - \$0.720 = **\$69.280 per ton**

2.3.3 Asphalt Price Adjustment for Tack Coat: Example

(Example of Positive Price Adjustment – Tack Coat)

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 407.0102 Diluted Tack Coat

Item 407.0102 Bid Price = \$2.000 (example)

Base Average F.O.B. Terminal Price = \$580.000 (fixed for the duration of the contract)

New Monthly Average F.O.B. Terminal Price = \$590.000 (example, it changes each month)

Total % Asphalt plus Fuel for Item 407.0102 = 40.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 407.0102 Diluted Tack Coat (example):

Tack Coat

Price Adjustment (per gallon)	=	$\left(\begin{array}{c} \text{New Monthly Average FOB Terminal Price} \\ - \\ \text{Base Average FOB Terminal Price} \end{array} \right) \times \begin{array}{c} \text{Total \% Asphalt Plus Fuel Allowance} \end{array}$
(\$590.000	-	\$580.000) = (\$10.000 /235) x 0.402 = +\$0.017 per gallon

Positive Price Adjustment number shall be added to original per ton Bid Price.

Contract price for Item 407.0102 Diluted Tack Coat including the new Price Adjustment for that month (example):

Contract Price = Bid Price + Monthly Price Adjustment

Contract Price = \$2.000 + \$0.017 = **\$2.017 per gallon**

(Example of Negative Price Adjustment- Tack Coat)

This example is for illustration purposes only. Actual Base Average Price, etc., may vary:

Item 407.0102 Diluted Tack Coat

Item 407.0102 Bid Price = \$2.000 (example)

Base Average F.O.B. Terminal Price = \$580.000 (fixed for the duration of the contract)

New Monthly Average F.O.B Terminal Price = \$570.000(example, it changes each month)

Total % Asphalt plus Fuel for Item 407.0102 = 40.20% (see Total % Asphalt + Fuel Allowance Chart)

Monthly Price Adjustment for Item 407.0102 Diluted Tack Coat (example):

Tack Coat

Price Adjustment (per gallon)	=	$\left(\frac{\text{New Monthly Average FOB Terminal Price} - \text{Base Average FOB Terminal Price}}{235} \right)$	x	Total % Asphalt Plus Fuel Allowance
(\$570.000 - \$580.000)	=	(-\$10.000 /235)	x	0.402
				= -\$0.017 per gallon

Negative Price Adjustment number shall be subtracted from original per ton Bid Price.

Contract price for Item 407.0102 including the new Price Adjustment for that month (example):

Contract Price = Bid Price - Monthly Price Adjustment
 Contract Price = \$2.000 - \$0.017 = **\$1.983 per gallon**

2.4 Price Structure

If, during the Contract Term, the Contractor is unable or unwilling to meet contractual requirements in whole or in part based on the price structure of the Contract, it shall immediately notify the Office of General Services, Procurement Services in writing. Such notification shall not relieve the Contractor of its responsibilities under the Contract. The State may, but is not required to, consider an equitable adjustment in the Contract terms and/or pricing in the circumstances outlined in Appendix B, *Savings/Force Majeure*.

Should the Commissioner in his or her sole discretion determine during the Contract Term that (i) the Contract price structure is unworkable, detrimental, or injurious to the State, or (ii) the Contract price structure results in prices which are unreasonable, excessive, or not truly reflective of current market conditions, and no adjustment in the Contract terms and/or pricing is mutually agreeable, the State may terminate the Contract upon 10 business days written notice mailed to the Contractor.

SECTION 3: PREVAILING WAGE RATES

3.1 Prevailing Wage Rates – State and Federally Funded Public Works Contract

Work being bid is subject to the prevailing wage rate provisions of New York State Labor Law. See "Prevailing Wage Rates - Public Works and Building Services Contracts" in Appendix B, OGS *General Specifications*. Any federal or State determination of a violation of any public works law or regulation, or labor law or regulation, or any OSHA violation deemed "serious or willful" may be grounds for a determination of vendor non-responsibility, rejection of bid, suspension or termination of Contract.

Any provisions of NYS Labor Law that are in conflict with mandatory Federal-Aid construction contract compliance requirements are superseded. Any provisions of NYS Labor Law that are not in conflict with mandatory Federal-Aid construction contract compliance requirements or the Davis-Bacon Act but are more restrictive shall apply.

IMPORTANT NOTE: NYSDOT will provide a separate PRC # for each purchase from this contract where prevailing wage rates apply. The PRC # provided in this bid is for information and evaluation purposes only.

For access to the Department of Labor (DOL) Prevailing Wage Schedule, use the following link:
<https://applications.labor.ny.gov/wpp/showFindProject.do?method=showIt>

For Prevailing Wage Updates, use the following DOL link:
<https://applications.labor.ny.gov/wpp/publicViewPWChanges.do?method=showIt>

Links to schedule updates appear in the table at the bottom of the web page.

The Federal Wage Rate Charts are located on the web at: <http://www.wdol.gov/dba.aspx>. To retrieve the Federal Wage Rate Charts, referring to the next page's tables, enter the applicable WD# in the "Select DBA by number" field on the web page and click "Search".

3.1.1 Worker Notification

Labor Law § 220(3-a)(a)(ii) requires Contractors and subcontractors to provide written notice to all laborers, workers or mechanics of the *prevailing wage rate* for their particular job classification *on each pay stub**. It also requires Contractors and subcontractors to *post a notice* at the beginning of the performance of every public work Contract *on each job site* that includes the telephone number and address for the Department of Labor and a statement informing laborers, workers or mechanics of their right to contact the Department of Labor if he/she is not receiving the proper prevailing rate of wages and/or supplements for his/her particular job classification. The required notification will be provided with each wage schedule, may be downloaded from <https://www.labor.ny.gov/home/> or made available upon request by contacting the Bureau of Public Work at 518-457-5589.

* In the event that the required information will not fit on the pay stub, an accompanying sheet or attachment of the information will suffice.

3.1.2 OSHA 10-Hour Construction Safety and Health Course

Labor Law § 220-h requires that on all public work contracts of at least \$250,000, all laborers, workers, and mechanics working on site be certified as having successfully completed the OSHA 10-hour construction safety and health course. It further requires that the advertised bids and contracts for every public work contract of at least \$250,000 contain a provision of the requirement AND only applies to workers on a public work project that are required under Article 8 to receive the prevailing wage.

Further information may be found at:

<https://www.labor.ny.gov/workerprotection/publicwork/PWContents.shtm>

3.1.3 Living Wage

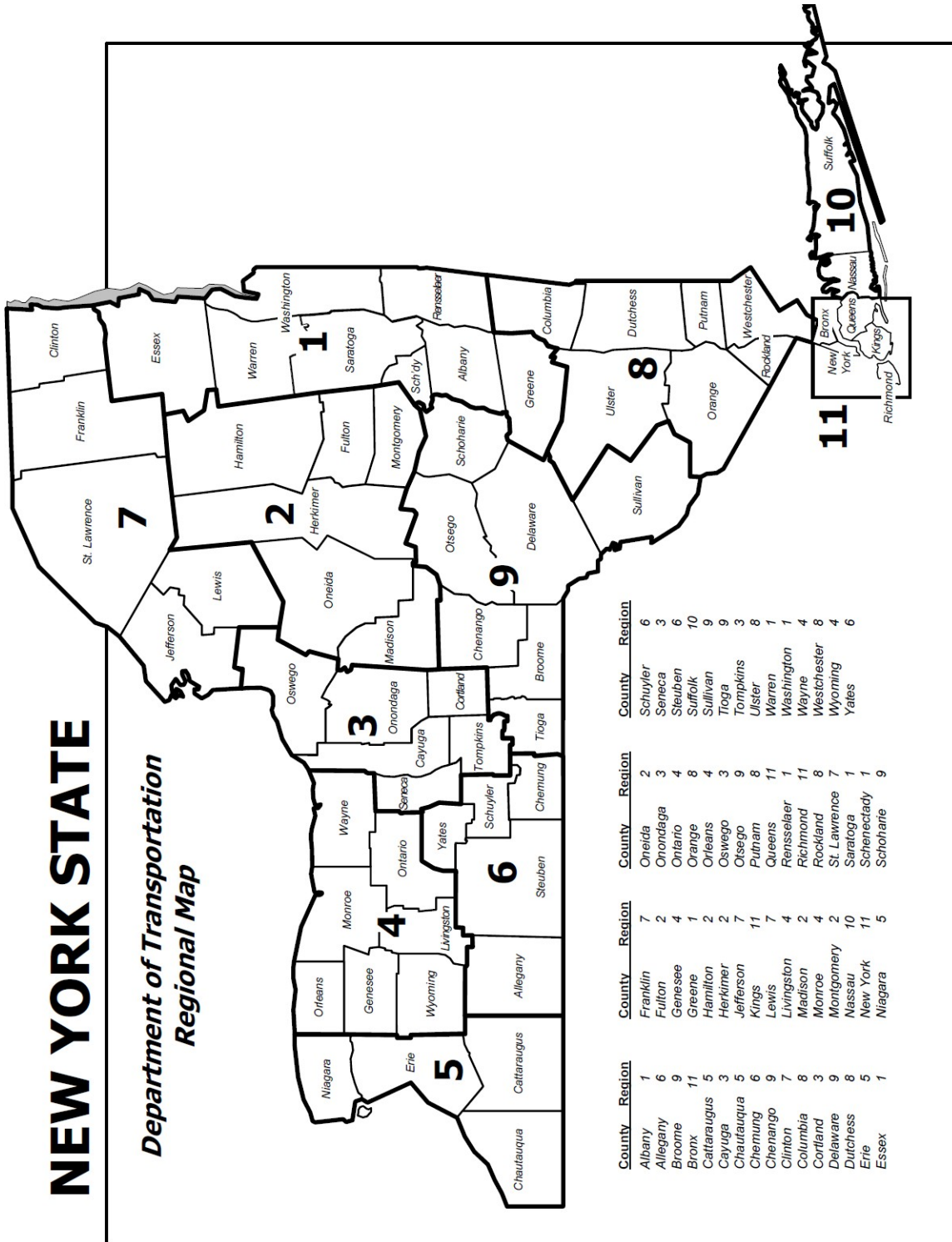
An Authorized User subject to a local law establishing a “living wage”, such as Section 6-109 of the New York City Administrative Code, is required to ensure the Contractor sought to be hired complies with such local law. If the pay rate(s) for a job title(s) is less than the local law “living wage,” then the Authorized User subject to such local law cannot use this Contract for such job title(s). Local laws, however, are not a term and condition of the OGS contract.

COUNTY	WD #
Albany	NY2
Allegany	NY47
Bronx	NY3
Broome	NY4
Cattaraugus	NY8
Cayuga	NY36
Chautauqua	NY8
Chemung	NY5
Chenango	NY4
Clinton	NY6
Columbia	NY2
Cortland	NY42
Delaware	NY21
Dutchess	NY7
Erie	NY8
Essex	NY6
Franklin	NY35
Fulton	NY2
Genesee	NY29
Greene	NY2
Hamilton	NY46

COUNTY	WD #
Herkimer	NY31
Jefferson	NY9
Kings	NY3
Lewis	NY9
Livingston	NY30
Madison	NY15
Monroe	NY10
Montgomery	NY2
Nassau	NY12
New York	NY3
Niagara	NY11
Oneida	NY14
Onondaga	NY16
Ontario	NY32
Orange	NY7
Orleans	NY34
Oswego	NY38
Otsego	NY37
Putnam	NY25
Queens	NY3
Rensselaer	NY2

COUNTY	WD #
Richmond	NY3
Rockland	NY20
Saratoga	NY2
Schenectady	NY2
Schoharie	NY2
Schuyler	NY5
Seneca	NY40
St Lawrence	NY9
Steuben	NY18
Suffolk	NY12
Sullivan	NY7
Tioga	NY45
Tompkins	NY24
Ulster	NY7
Warren	NY39
Washington	NY2
Wayne	NY44
Westchester	NY17
Wyoming	NY41
Yates	NY33

SECTION 4: NYS MAP – NYSDOT REGIONS



SECTION 5: CONTRACT ADMINISTRATION

5.1 Contract Administration

The Contractor shall provide a sufficient number of Customer Service employees who are knowledgeable and responsive to Authorized User needs and who can effectively service the Contract. Contractor shall also provide an Emergency Contact in the event of an emergency occurring after business hours or on weekend/holidays.

Contractor shall provide a dedicated Contract Administrator to support the updating and management of the Contract on a timely basis. Contractor must notify OGS within five Business Days if it's Contract Administrator, Emergency Contact, or Customer Service employees change, and provide an interim contact person until the position is filled. Changes shall be submitted electronically via e-mail to the OGS Contract Management Specialist.

SECTION 6: TERMS AND CONDITIONS

6.1 Contract Term and Extensions

- A. Base Term. Notwithstanding the provisions of Appendix B Section 22, Contract Creation/Execution, the base contract term shall become effective upon the later of (i) April 1, 2022, or (ii) the date of OSC approval of the final executed documents, and shall continue through and including December 31, 2022.
- B. Extensions: If mutually agreed between OGS and the Contractor, and subject to the approval of OSC, the Contract may be extended under the same terms and conditions for up to (1) additional two (2) year period. The Contract extension may be exercised on a month to month basis such as an additional three-month, six month, twelve month, or twenty-four-month period.

6.2 Short term Extension

This section shall apply in addition to any rights set forth in Appendix B, *Contract Term – Extension*. In the event a replacement Contract has not been issued, any Contract let and awarded hereunder by the State may be extended unilaterally by the State for an additional period of up to 30 calendar days upon notice to the Contractor with the same terms and conditions as the original Contract and any approved modifications. With the concurrence of the Contractor, the extension may be for a period of up to 90 calendar days in lieu of 30 calendar days. However, this extension automatically terminates should a replacement Contract be issued in the interim.

6.3 Ordering

Purchase Orders shall be made in accordance with the terms set forth in Appendix B, *Purchase Orders*. Authorized Users may submit orders over the phone, and, if available, may submit orders electronically via web-based ordering, e-mail, or facsimile at any time. Orders submitted shall be deemed received by Contractor on the date submitted.

All orders shall reference Contract number, requisition, and/or Purchase Order number (if applicable). Upon Contractor's receipt of an order, confirmation is to be provided to the Authorized User electronically or via facsimile. Order confirmation should be sufficiently detailed, and include, at a minimum, purchase price, date of order, delivery information (if applicable), Authorized User name, and sales representative (if applicable).

6.4 Purchasing Card Orders

If the Contractor accepts orders using the State's Purchasing Card (see Appendix B, *Purchasing Card*), also referred to as the Procurement Card, the Contractor shall not charge or bill the Authorized User for any additional charges related to the use of the Purchasing Card, including but not limited to processing charges, surcharges or other fees.

6.5 Minimum Order

There is no minimum order for this Contract.

6.6 Invoicing and Payment

Invoicing and payment shall be made in accordance with the terms set forth in Appendix B, *Contract Invoicing*.

Payment shall be made at contract prices per net ton for the actual quantity of material placed by the Contractor. Payment shall be made at the contract price per gallon for the actual quantity of tack coat placed by the Contractor.

6.6.1 Quality Adjustment Factor (QAF; Quality Units)

Payment adjustments will be applied in Quality Units for all applicable mixes as described in Sub-Section 401-3, 404- 4, and 404-5 of the NYSDOT Standard Specifications <https://www.dot.ny.gov/main/business-center/engineering/specifications/updated-standard-specifications-us>. Please refer to that document for a more detailed explanation. The following Index Prices shall be used for all projects contained in this contract:

QUALITY UNIT INDEX PRICES (QAF)	
<u>Region</u>	<u>Index Price (\$/Quality Unit)</u>
1	\$75
2	\$70
3	\$65
4	\$90
5	\$85
6	\$75
7	\$70
8	\$110
9	\$75
10	\$115
11	\$150

The Contractor is required to provide the Authorized User with one invoice for each Purchase Order at the time of delivery. The invoice must include detailed line item information to allow Authorized Users to verify that pricing at point of receipt matches the Contract price on the original date of order. At a minimum, the following fields must be included on each invoice:

- Contractor Name
- Contractor Billing Address
- Contractor Federal ID Number
- NYS Vendor ID Number
- Account Number
- NYS Contract Number
- Name of Authorized User indicated on the Purchase Order
- NYS Agency Unit ID (if applicable)
- Authorized User’s Purchase Order Number
- Order Date
- Invoice Date
- Invoice Number
- Invoice Amount
- Product Descriptions
- Unit Price
- Quantity
- Unit of Measure
- Dates of Service (if applicable)

Cost centers or branch offices within an Authorized User may require separate invoicing as specified by each Authorized User. The Contractor's billing system shall be flexible enough to meet the needs of varying ordering systems in use by different Authorized Users. Visit the following link for further guidance for vendors on invoicing: <https://bsc.ogs.ny.gov/nys-vendors>.

6.7 Product Delivery

Delivery of all Contract Products shall be made in accordance with Appendix B, *Product Delivery and Shipping/Receipt of Product*.

6.8 NYS Financial System (SFS)

New York State is currently operating on an Enterprise Resource Planning (ERP) system, Oracle PeopleSoft software, referred to as the Statewide Financial System (SFS). SFS is currently on PeopleSoft Financials version 9.2. SFS supports requisition-to-payment processing and financial management functions.

The State is also implementing an eProcurement application that supports the requisitioning process for State Agencies to procure Products in SFS. This application provides catalog capabilities. Contractors with Centralized Contracts have the ability to provide a “hosted” or “punch-out” catalog that integrates with SFS and is available to Authorized Users via a centralized eMarketplace website. Additional information may be found at: <https://ogs.ny.gov/procurement/emarketplace>.

There are no fees required for a Contractor's participation in the catalog site development or management. Upon completion and activation of an on-line catalog, State Agencies will process their orders through the SFS functionality and other Authorized Users can access the catalog site to fulfill orders directly.

The State may be implementing additional PeopleSoft modules in the near future. Further information regarding business processes, interfaces, and file layouts currently in place may be found at: <http://www.sfs.ny.gov> and <http://www.osc.state.ny.us/agencies/guide/MyWebHelp/>.

6.9 N.Y. State Finance Law § 139-I

Pursuant to N.Y. State Finance Law § 139-I, every bid made on or after January 1, 2019 to the State or any public department or agency thereof, where competitive bidding is required by statute, rule or regulation, for work or services performed or to be performed or goods sold or to be sold, and where otherwise required by such public department or agency, shall contain a certification that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of N.Y. State Labor Law § 201-g.

N.Y. State Labor Law § 201-g provides requirements for such policy and training and directs the Department of Labor, in consultation with the Division of Human Rights, to create and publish a model sexual harassment prevention guidance document, sexual harassment prevention policy and sexual harassment prevention training program that employers may utilize to meet the requirements of N.Y. State Labor Law § 201-g. The model sexual harassment prevention policy, model sexual harassment training materials, and further guidance for employers, can be found online at the following URL: <https://www.ny.gov/combating-sexual-harassment-workplace/employers>.

Pursuant to N.Y. State Finance Law § 139-I, any bid by a corporate bidder containing the certification required above shall be deemed to have been authorized by the board of directors of such bidder, and such authorization shall be deemed to include the signing and submission of such bid and the inclusion therein of such statement as the act and deed of the bidder.

If the Bidder cannot make the required certification, such Bidder shall so state and shall furnish with the bid a signed statement that sets forth in detail the reasons that the Bidder cannot make the certification. After review and consideration of such statement, OGS may reject the bid or may decide that there are sufficient reasons to accept the bid without such certification.

The certification required above can be found on Attachment 2 – *NYS Required Certifications*, which Bidder must submit with its bid.

6.10 Insurance

The Contractor shall maintain in force at all times during the terms of the Contract, policies of insurance pursuant to the requirements outlined in Attachment 4 – *Insurance Requirements*.

The Contractor must supply proof of Owners and Contractors Protective (OCP) Insurance Coverage, with a limit of not less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate, to the Resident Engineer at the Pre-Paving Conference.

6.11 Report of Contract Usage

Contractor shall submit Attachment – *Report of Contract Usage* including total sales to Authorized Users of this Contract by Contractor, and all authorized resellers, dealers and distributors, if any, no later than 30 days after the end of the contract.

Contractors shall specify if any authorized resellers, dealers or distributors are NYS Certified Minority- and/or Women-Owned Business Enterprises (MWBES), small business enterprises (SBEs), or Service-Disabled Veteran-Owned Businesses (SDVOBs).

The report is to be submitted electronically via e-mail in Microsoft Excel to OGS Procurement Services, to the attention of the individual listed on the front page of the Contract Award Notification and shall reference the Contract Group Number, Award Number, Contract Number, Sales Period, and Contractor's name.

The report in Attachment 8 – *Report of Contract Usage* contains the minimum information required. Additional related sales information, such as detailed user purchases may be required by OGS and must be supplied upon request. Failure to submit reports on a timely basis may result in Contract cancellation and designation of Contractor as non-responsible.

6.12 Contractor Requirements and Procedures for Participation by New York State Certified Minority- and Women-Owned Business Enterprises and Equal Employment Opportunities for Minority Group Members and Women / DBE Utilization and Equal Employment Opportunity Requirements for Federally Funded Projects

The requirements set forth in this Section do not apply to projects within the scope of this Invitation for Bids (IFB) that are federally funded. Federally funded projects are governed by 49 CFR 26 titled: *Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs*. (A list of federally funded projects included in this IFB can be found in Attachment – *Special Notes NYSDOT Specific Projects*, Section 2.1.)

Bidders shall refer to Attachment 14 – *Federal Aid Project Requirements (Chapter 12 – Appendix 12-1)* for additional guidance on the DBE Utilization and Equal Employment Opportunity requirements applicable to all federally funded projects.

I. New York State Law

Pursuant to New York State Executive Law Article 15-A and Parts 140-145 of Title 5 of the New York Codes, Rules and Regulations (“NYCRR”), the New York State Office of General Services (“OGS”) is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-Owned Business Enterprises (“MWBES”) and the employment of minority group members and women in the performance of OGS contracts.

II. General Provisions

- A. OGS is required to implement the provisions of New York State Executive Law Article 15-A and 5 NYCRR Parts 140-145 (“MWBE Regulations”) for all State contracts as defined therein, with a value (1) in excess of \$25,000 for labor, services, equipment, materials, or any combination of the foregoing or (2) in excess of \$100,000 for real property renovations and construction.
- B. The Contractor agrees, in addition to any other nondiscrimination provision of the Contract and at no additional cost to OGS, to fully comply and cooperate with OGS in the implementation of New York State Executive Law Article 15-A and the regulations promulgated thereunder. These requirements include equal employment opportunities for minority group members and women (“EEO”) and contracting opportunities for MWBEs. Contractor’s demonstration of “good faith efforts” pursuant to 5 NYCRR § 142.8 shall be a part of these requirements. These provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New York State Executive Law Article 15 (the “Human Rights Law”) or other applicable federal, State, or local laws.
- C. Failure to comply with all of the requirements herein may result in a finding of non-responsiveness, a finding of non-responsibility, breach of contract, withholding of funds, suspension or termination of the Contract, and/or such other actions or enforcement proceedings as allowed by the Contract and applicable law.

III. Equal Employment Opportunity (EEO)

- A. The provisions of Article 15-A of the Executive Law and the rules and regulations promulgated thereunder pertaining to equal employment opportunities for minority group members and women shall apply to all Contractors, and any subcontractors, awarded a subcontract over \$25,000 for labor, services, including legal, financial and other professional services, travel, supplies, equipment, materials, or any combination of the foregoing, to be performed for, or rendered or furnished to, the contracting State agency (the “Work”) except where the Work is for the beneficial use of the Contractor.
 - 1. Contractor and subcontractors shall undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability, or marital status. For these purposes, EEO shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff or termination, and rates of pay or other forms of compensation. This requirement does not apply to: (i) the performance of work or the provision of services or any other activity that is unrelated, separate, or distinct from the Contract; or (ii) employment outside New York State.
 - 2. By entering into this Contract, Contractor certifies that the text set forth in clause 12 of Appendix A, attached hereto and made a part hereof, is Contractor’s equal employment opportunity policy. In addition, Contractor agrees to comply with the Non-Discrimination Requirements set forth in clause 5 of Appendix A.

B. Form EEO 100 – Staffing Plan

To ensure compliance with this section, the Contractor agrees to submit, or has submitted with the Bid, a staffing plan on Form EEO 100 to OGS to document the composition of the proposed workforce to be utilized in the performance of the Contract by the specified categories listed, including ethnic background, gender, and federal occupational categories.

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- C. NYS Contract System Workforce Utilization Reporting Module (Commodities & Services)
1. The Contractor shall complete and shall require each of its subcontractors to complete a Workforce Audit on a quarterly basis throughout the term of this Contract, by the 10th day of April, July, October, and January. To report the actual workforce utilized in the performance of the Contract by the specified categories listed including ethnic background, gender, and Federal occupational categories. Contractor shall coordinate with its subcontractors to ensure that all workers associated with this Contract are properly counted and reported. To prepare the report, Contractor and its subcontractors shall use the NYS Contract System Workforce Audit Module found at the following website:
<https://ny.newnycontracts.com>.
 2. Separate audits shall be completed by Contractor and all subcontractors utilized on this contract and the Contractor is responsible for ensuring timely submission of the Workforce Audit by their subcontractors.
 3. In limited instances, the Contractor or subcontractor may not be able to separate out the workforce utilized in the performance of the Contract from its total workforce. When a separation can be made, the Contractor or subcontractor shall complete the Workforce Audit and indicate that the information provided relates to the actual workforce utilized on the Contract. When the workforce to be utilized on the Contract cannot be separated out from the Contractor's or subcontractor's total workforce, the Contractor or subcontractor shall complete the Workforce Audit and indicate that the information provided is the Contractor's or subcontractor's total workforce during the subject time frame, not limited to work specifically performed under the Contract.
- D. Contractor shall comply with the provisions of the Human Rights Law and all other State and federal statutory and constitutional non-discrimination provisions. Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status, or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.
- IV. Contract Goals
- A. For purposes of this procurement, OGS conducted a comprehensive search and determined that the Contract does not offer sufficient opportunities to set goals for participation by MWBEs as subcontractors, service providers, or suppliers to Contractor. Contractor is, however, encouraged to make every good faith effort to promote and assist the participation of MWBEs on this Contract for the provision of services and materials. The directory of New York State Certified MWBEs can be viewed at:
<https://ny.newnycontracts.com/FrontEnd/VendorSearchPublic.asp?TN=ny&XID=2528>. Additionally, following Contract execution, Contractor is encouraged to contact the Division of Minority and Women's Business Development ((518) 292-5250; (212) 803-2414; or (716) 846-8200) to discuss additional methods of maximizing participation by MWBEs on the Contract.
- B. Good Faith Efforts
- Pursuant to 5 NYCRR § 142.8, evidence of good faith efforts shall include, but not be limited to, the following:
1. A list of the general circulation, trade, and MWBE-oriented publications and dates of publications in which the Contractor solicited the participation of certified MWBEs as subcontractors/suppliers, copies of such solicitations, and any responses thereto.
 2. A list of the certified MWBEs appearing in the Empire State Development ("ESD") MWBE directory that were solicited for this Contract. Provide proof of dates or copies of the solicitations and copies of the responses made by the certified MWBEs. Describe specific reasons that responding certified MWBEs were not selected.

3. Descriptions of the Contract documents/plans/specifications made available to certified MWBEs by the Contractor when soliciting their participation and steps taken to structure the scope of work for the purpose of subcontracting with, or obtaining supplies from, certified MWBEs.
4. A description of the negotiations between the Contractor and certified MWBEs for the purposes of complying with the MWBE goals of this Contract.
5. Dates of any pre-bid, pre-award, or other meetings attended by Contractor, if any, scheduled by OGS with certified MWBEs whom OGS determined were capable of fulfilling the MWBE goals set in the Contract.
6. Other information deemed relevant to the request.

V. Fraud

Any suspicion of fraud, waste, or abuse involving the contracting or certification of MWBEs shall be immediately reported to ESD's Division of Minority and Women's Business Development at (855) 373-4692.

ALL FORMS ARE AVAILABLE AT: <https://ogs.ny.gov/MWBE>

Vendor must scroll down to the section titled COMMODITY & SERVICE CONTRACTS and use the appropriate forms under this section only.

6.13 Participation Opportunities For New York State Certified Service-Disabled Veteran Owned Businesses

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"), thereby further integrating such businesses into New York State's economy. OGS recognizes the need to promote the employment of service-disabled veterans and to ensure that certified service-disabled veteran-owned businesses have opportunities for maximum feasible participation in the performance of OGS contracts.

In recognition of the service and sacrifices made by service-disabled veterans and in recognition of their economic activity in doing business in New York State, Bidders/Contractors are strongly encouraged and expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles.

For purposes of this procurement, OGS conducted a comprehensive search and determined that the Contract does not offer sufficient opportunities to set specific goals for participation by SDVOBs as subcontractors, service providers, and suppliers to Contractor. Nevertheless, Bidder/Contractor is encouraged to make good faith efforts to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials. The directory of New York State Certified SDVOBs can be viewed at: <https://ogs.ny.gov/Veterans/>

Bidder/Contractor is encouraged to contact the Office of General Services' Division of Service-Disabled Veteran's Business Development at 518-474-2015 or VeteranDevelopment@ogs.ny.gov to discuss methods of maximizing participation by SDVOBs on the Contract.

ALL FORMS ARE AVAILABLE AT: <https://ogs.ny.gov/Veterans/>

6.14 Use of Recycled or Remanufactured Materials

New York State supports and encourages Contractors to use recycled, remanufactured or recovered materials in the manufacture of Products and packaging to the maximum extent practicable without jeopardizing the performance or intended end use of the Product or packaging unless such use is precluded due to health or safety requirements or Product specifications contained herein. Refurbished or remanufactured components or Products are required to be restored to original performance and regulatory standards and functions and are required to meet all other requirements of this Solicitation. Warranties on refurbished or remanufactured components or Products must be identical to the manufacturer's new equipment warranty or industry's normal warranty when remanufacturer does not offer new equipment. See Appendix B, *Remanufactured, Recycled, Recyclable or Recovered Materials*.

6.15 Environmental Attributes and NYS Executive Order Number 4

New York State is committed to environmental sustainability and endeavors to procure Products with reduced environmental impact. One example of this commitment may be found in Executive Order No. 4 (Establishing a State Green Procurement and Agency Sustainability Program), which imposes certain requirements on State Agencies, authorities, and public benefit corporations when procuring Products. More information on Executive Order No. 4, including specifications for offerings covered by this Contract, may be found at <https://ogs.ny.gov/greenny/>. State entities subject to Executive Order No. 4 are advised to become familiar with the specifications that have been developed in accordance with the Order, and to incorporate them, as applicable, when making purchases under this Contract.

6.16 Consumer Products Containing Mercury

Contractor shall comply with the requirements of Title 21 of Article 27 of the NYS Environmental Conservation Law regarding restrictions on the sale, purchasing, labeling and management of any products containing elemental mercury under this Contract.

6.17 Diesel Emission Reduction Act

Pursuant to N.Y. Environmental Conservation Law § 19-0323 (the “Law”), it is a requirement that heavy duty diesel vehicles in excess of 8,500 pounds use the best available retrofit technology (“BART”) and ultra-low sulfur diesel fuel (“ULSD”). The requirement of the Law applies to all vehicles owned, operated by or on behalf of, or leased by State Agencies and State or regional public authorities. It also requires that such vehicles owned, operated by or on behalf of, or leased by State Agencies and State or regional public authorities with more than half of its governing body appointed by the Governor utilize BART.

The Law may be applicable to vehicles used by Contractors “on behalf of” State Agencies and public authorities and require certain reports from Contractors. All heavy duty diesel vehicles must have BART by the deadline provided in the Law. The Law also provides a list of exempted vehicles. Regulations set forth in 6 NYCRR Parts 248 and 249 provide further guidance. The Bidder hereby certifies and warrants that all heavy duty vehicles, as defined in the Law, to be used under this Contract, will comply with the specifications and provisions of the Law, and 6 NYCRR Parts 248 and 249.

6.18 NYS Vendor Responsibility

OGS conducts a review of prospective Contractors (“Bidders”) to provide reasonable assurances that the Bidder is responsive and responsible. A For-Profit Business Entity Questionnaire (hereinafter “Questionnaire”) is used for non-construction Contracts and is designed to provide information to assess a Bidder’s responsibility to conduct business in New York based upon financial and organizational capacity, legal authority, business integrity, and past performance history. By submitting a Bid, Bidder agrees to fully and accurately complete the Questionnaire. The Bidder acknowledges that the State’s execution of the Contract will be contingent upon the State’s determination that the Bidder is responsible, and that the State will be relying upon the Bidder’s responses to the Questionnaire, in addition to all other information the State may obtain from other sources, when making its responsibility determination.

OGS recommends each Bidder file the required Questionnaire online via the New York State VendRep System. To enroll in and use the VendRep System, please refer to the VendRep System Instructions and User Support for Vendors available at the Office of the State Comptroller’s (OSC) website at <http://www.osc.state.ny.us/vendors/index.htm> or to enroll, go directly to the VendRep System online at <https://www.osc.state.ny.us/state-vendors/vendrep/vendrep-system>.

Vendors must provide their New York State Vendor Identification Number when enrolling. For information on how to request assignment of a Vendor ID, see the *NYS Vendor File Registration* section. OSC provides direct support for the VendRep System through user assistance, documents, online help, and a help desk. The OSC Help Desk contact information is located at <http://www.osc.state.ny.us/portal/contactbuss.htm>. Bidders opting to complete and submit the paper questionnaire can access this form and associated definitions via the OSC website at http://www.osc.state.ny.us/vendrep/forms_vendor.htm.

In order to assist the State in determining the responsibility of the Bidder prior to Contract award, the Bidder must complete and certify (or recertify) the Questionnaire no more than six (6) months prior to the Bid due date. A Bidder's Questionnaire cannot be viewed by OGS until the Bidder has certified the Questionnaire. It is recommended that all Bidders become familiar with all of the requirements of the Questionnaire in advance of the Bid opening to provide sufficient time to complete the Questionnaire.

The Bidder agrees that if it is awarded a Contract the following shall apply:

The Contractor shall at all times during the Contract term remain responsible. The Contractor agrees, if requested by the Commissioner of OGS, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organizational and financial capacity.

The Commissioner of OGS, in his or her sole discretion, reserves the right to suspend any or all activities under this Contract, at any time, when he or she discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor will be given written notice outlining the particulars of such suspension. Upon issuance of such notice, the Contractor must comply with the terms of the suspension order. Contract activity may resume at such time as the Commissioner of OGS issues a written notice authorizing a resumption of performance under the Contract.

The Contractor agrees that if it is found by the State that Contractor's responses to the Questionnaire were intentionally false or intentionally incomplete, on such finding, the Commissioner may terminate the Contract.

Upon written notice to the Contractor, and a reasonable opportunity to be heard with appropriate OGS officials or staff, the Contract may be terminated by the Commissioner of OGS at the Contractor's expense where the Contractor is determined by the Commissioner of OGS to be non-responsible. In such event, the Commissioner of OGS may complete the contractual requirements in any manner he or she may deem advisable and pursue available legal or equitable remedies for breach.

In no case shall such termination of the Contract by the State be deemed a breach thereof, nor shall the State be liable for any damages for lost profits or otherwise, which may be sustained by the Contractor as a result of such termination.

6.19 Drug and Alcohol Use Prohibited

For reasons of safety and public policy, in any Contract resulting from this Solicitation, the Contractor's personnel shall not be impaired by alcohol or drugs of any kind in the performance of the Contract.

6.20 Traffic Infractions

Neither the State nor Authorized Users will be liable for any expense incurred by the Contractor's personnel for any parking fees or as a consequence of any traffic infraction or parking violation attributable to employees of the Contractor in performance of the Contract.

6.21 Attachment 14 - Federal Aid Project Requirements (Chapter 12 - Appendix 12-1)

Attachment 14 – *Federal Aid Project Requirements (Chapter 12 - Appendix 12-1)* setting forth certain federally required contract provisions dated January 2019, is hereby expressly made a part of this Invitation for Bids as fully as if set forth at length herein. Please retain this document for future reference.

**State of New York
Office of General Services
PROCUREMENT SERVICES
Contract Performance Report**

Please take a moment to let us know how this contract award has measured up to your expectations. If reporting on more than one contractor or product, please make copies as needed. This office will use the information to improve our contract award, where appropriate. **Comments should include those of the product's end user.**

Contract No.: _____ **Contractor:** _____

Describe Product* Provided (Include Item No., if available): _____

***Note:** "Product" is defined as a deliverable under any Bid or Contract, which may include commodities (including printing), services and/or technology. The term "Product" includes Licensed Software.

	Excellent	Good	Acceptable	Unacceptable
• Product meets your needs				
• Product meets contract specifications				
• Pricing				

CONTRACTOR

	Excellent	Good	Acceptable	Unacceptable
• Timeliness of delivery				
• Completeness of order (fill rate)				
• Responsiveness to inquiries				
• Employee courtesy				
• Problem resolution				

Comments: _____

_____ (over)

Agency: _____ Prepared by: _____

Address: _____ Title: _____

_____ Date: _____

_____ Phone: _____

_____ E-mail: _____

Please detach or photocopy this form & return via email to Christine.Dettmer@ogs.ny.gov or mail to:

OGS PROCUREMENT SERVICES
Customer Services, 38th Floor
Attn: Christine Dettmer
Corning 2nd Tower - Empire State Plaza
Albany, New York 12242

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